

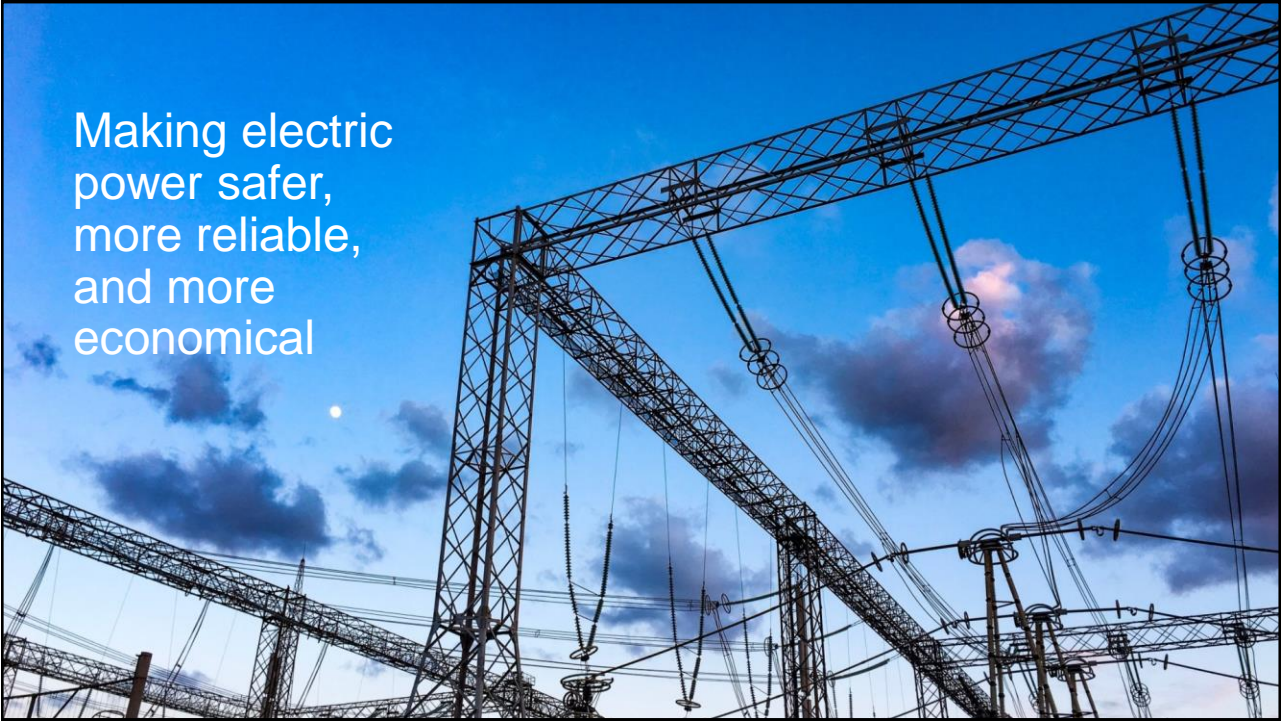
# OT cybersecurity system with SEL technology and expertise



© 2021 SEL



## SEL corporate overview



Making electric  
power safer,  
more reliable,  
and more  
economical



## Our beginnings

THREE GENERATIONS OF INVENTING THE FUTURE

## From 1982...

**Founded** by Dr. Schweitzer,  
in **1982**

Released world's first digital  
protective relay, the **SEL-21**,  
in **1984**



## ...to now

**4** electronic device factories

**166** countries with SEL products

**107** sales and support offices

**5,300** employees around the world







**We invent, design, build, and support**  
solutions that protect and control power systems





## We provide **end-to-end solutions**

Computing      Protection/control      Software      Automation      Communications      Training  
Security for critical infrastructure      Engineering services      Precise time      Metering



## **100% employee-owned**

SO WE CAN PUT OUR CUSTOMERS FIRST

“We do business  
the way our mothers  
would want us to.”

—EDMUND O. SCHWEITZER, III, Ph.D.  
President, CTO, and Founder



WE LIVE OUR VALUES

**Quality**  
**Customer focus**  
**Discipline**  
**Communication**  
**Integrity**  
**Creativity**  
**Community**  
**Ownership**  
**Dignity of work**





CUSTOMER SERVICE

No-questions-asked  
**warranty** is included for  
all SEL products



CUSTOMER SERVICE

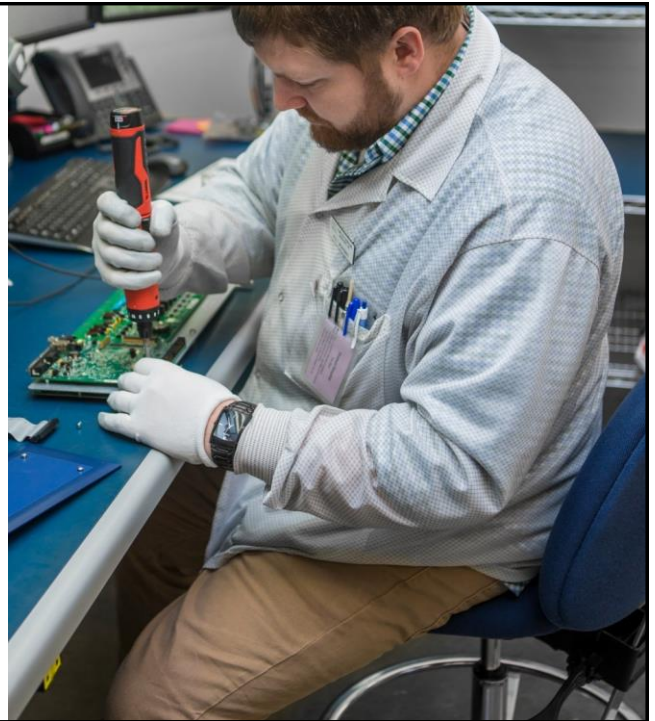
SEL Product Hospital  
responds to **each returned**  
**product** quickly





CUSTOMER SERVICE

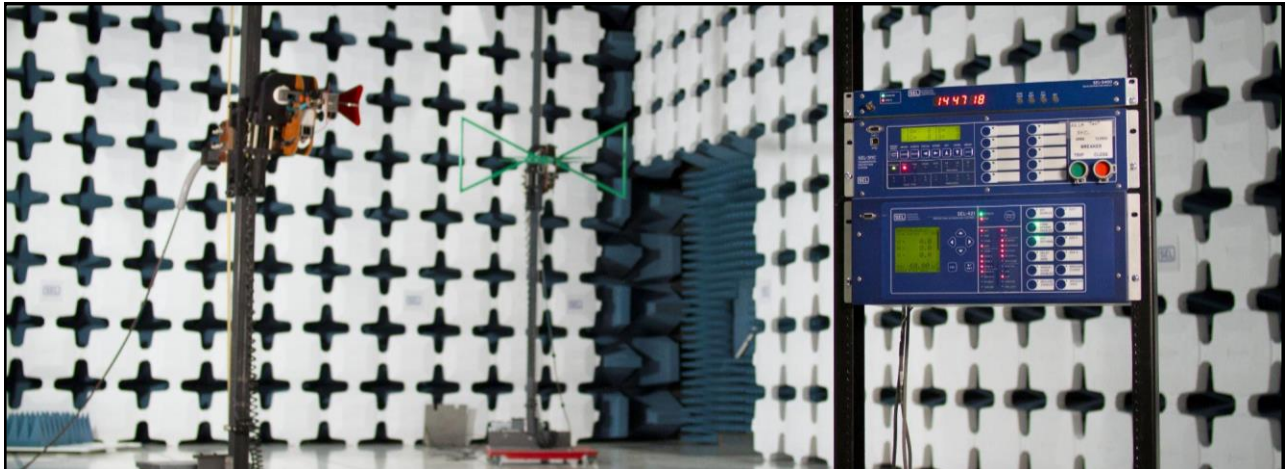
**SEL never charges** to fix  
or repair anything



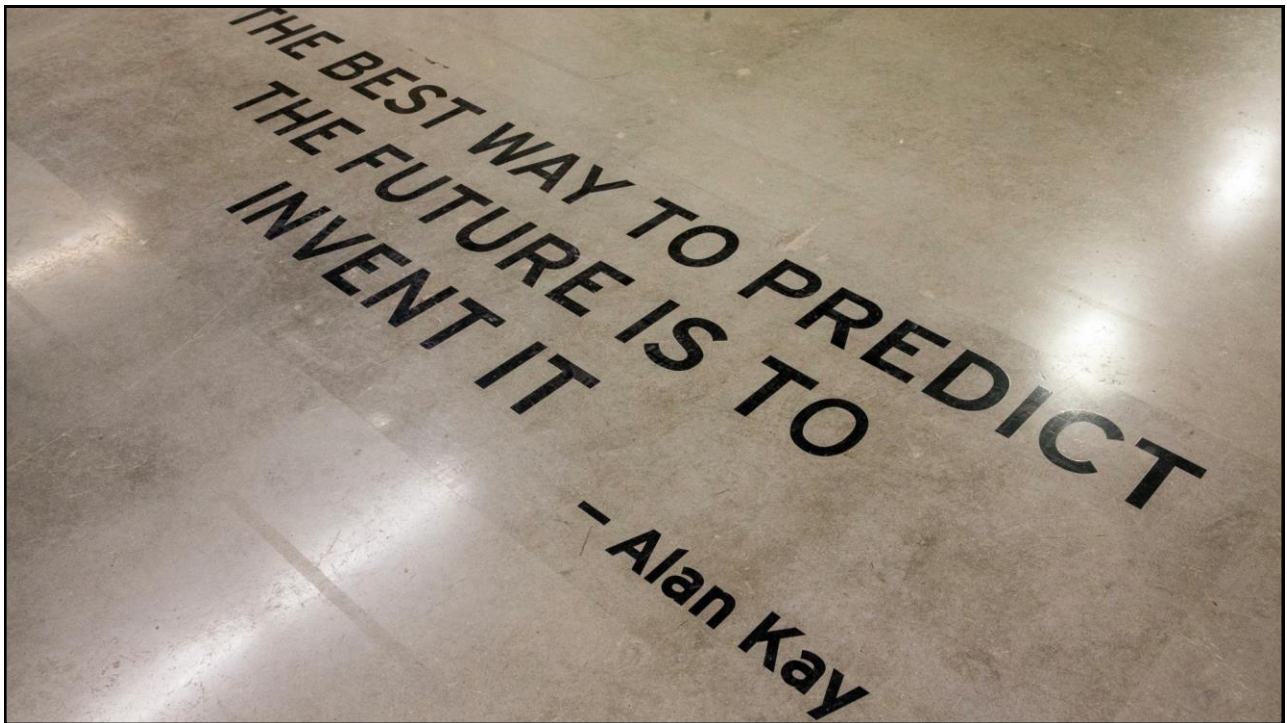
CUSTOMER SERVICE

If we cannot fix it,  
**SEL replaces unit for free**






We build **quality** and **reliability** into our products by designing for simplicity








## Holistic look at competing risks

### Overview – learning objectives

 Risk is always evolving and requires continuous monitoring and improvement

 Risk decisions must be made with finite amount of time, money, and people

 Risk must be looked at holistically  
(**never** put cyber in a silo)

 Including security in design reduces total cost of ownership and significantly reduces most risk



# Energy is oxygen to critical infrastructure



## Control system components

Control systems have **four functions**

- Measuring
- Comparing
- Computing
- Providing corrective calculation

Functions are performed by **five elements**

- Sensors
- Transducers
- Transmitters
- Controllers
- Control elements

<https://ics.sans.org/media/An-Abbreviated-History-of-Automation-and-ICS-Cybersecurity.pdf>





## Digitized control systems today

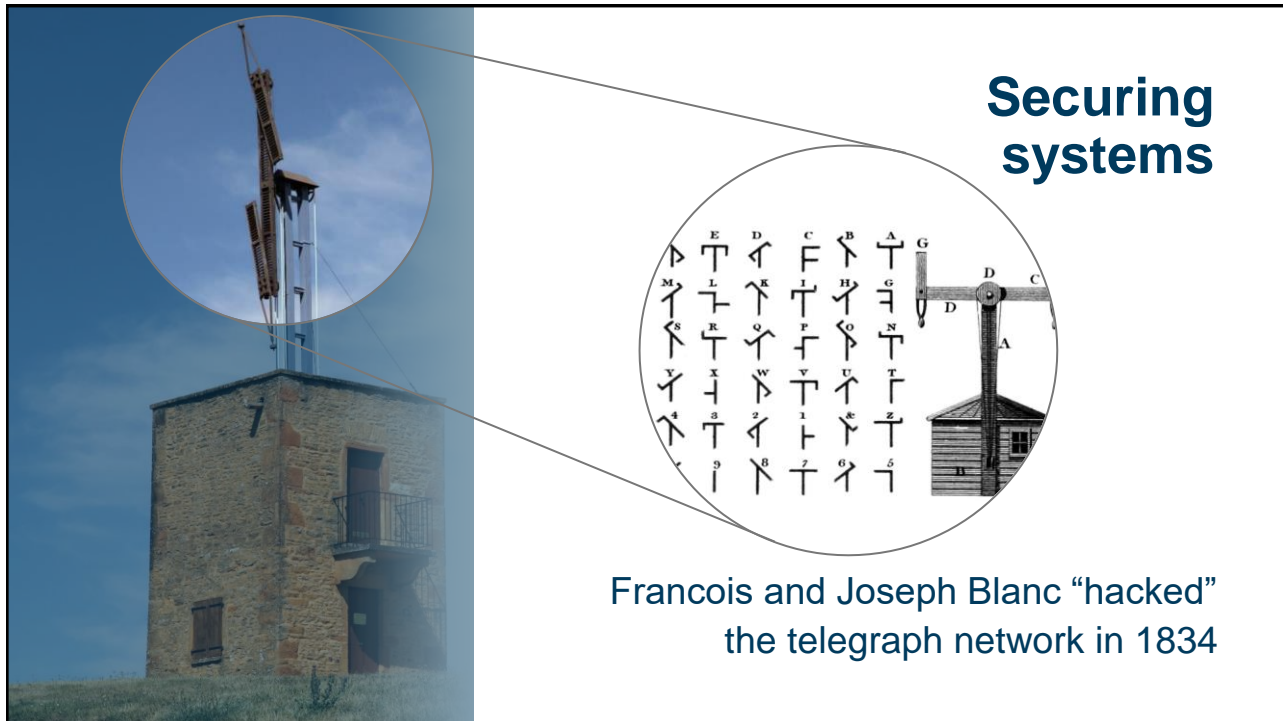
Provides a **holistic view** of organization or system

- ✓ Decrease decision time
- ✓ Improve productivity
- ✓ Assure quality
- ✓ Increase profits



2020

## Securing systems



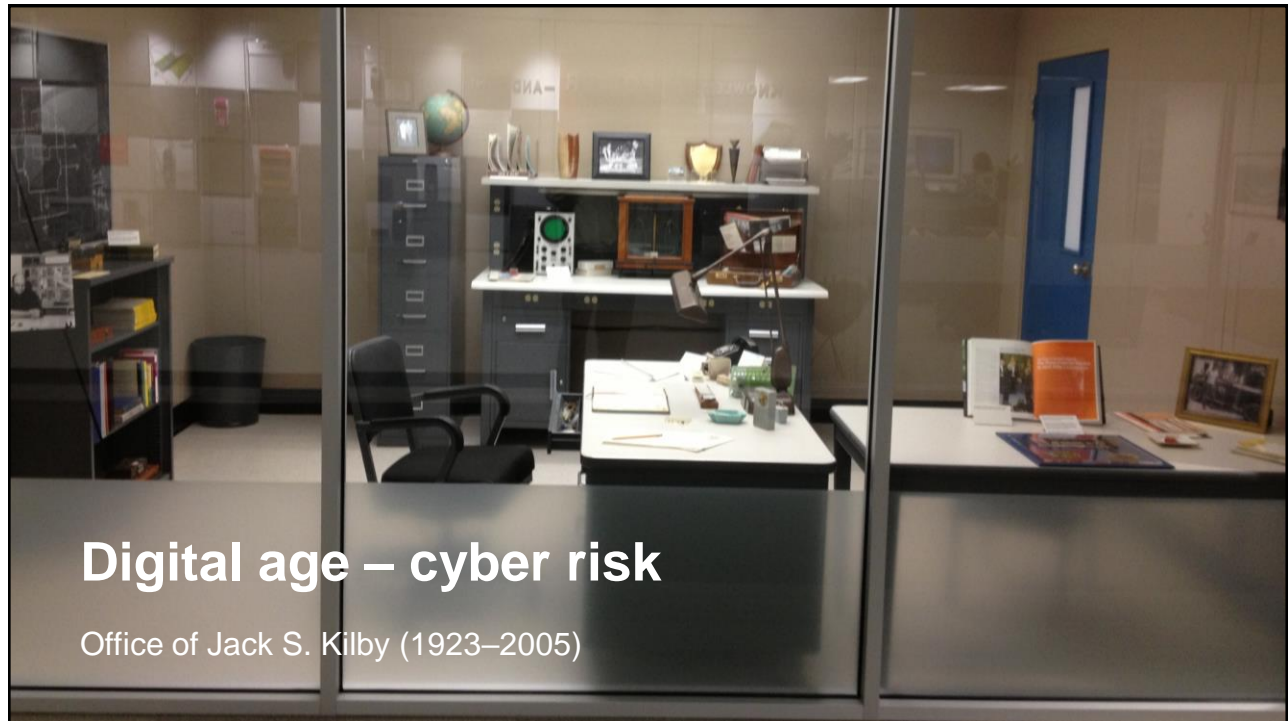
Francois and Joseph Blanc “hacked”  
the telegraph network in 1834

## The world’s first cyber attack?

- François and Joseph Blanc added single-digit mistake
- It indicated direction of Paris stock market
- Partner intercepted with “days” of intel over peers
- APT lasted 700+ days before being discovered





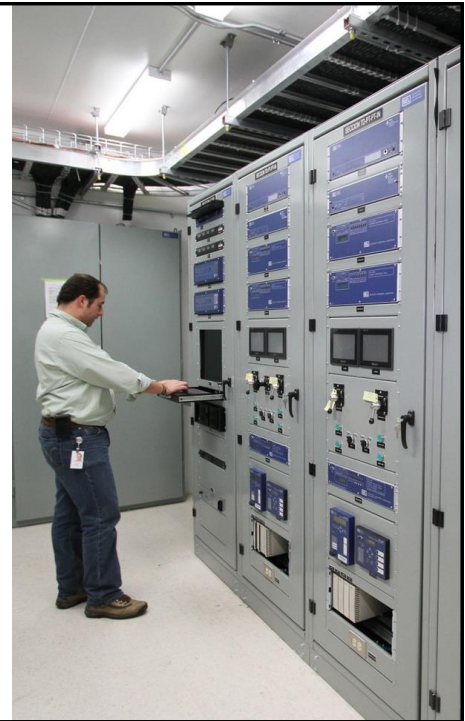


## Cybersecurity challenges

- Industrial control equipment has a lifetime measured in decades
- Updates are expensive and increase operational risk
- Systems need high availability and usability
- Cultural differences exist between workers on IT and OT

## Terms and definitions

- Risk
- Vulnerability
- Threat
- Exposure
- Exploit
- Mitigation (Security control)



## Risk

*Noun*

Potential of loss within situation

*There is risk to life when  
crossing the street*

*Verb*

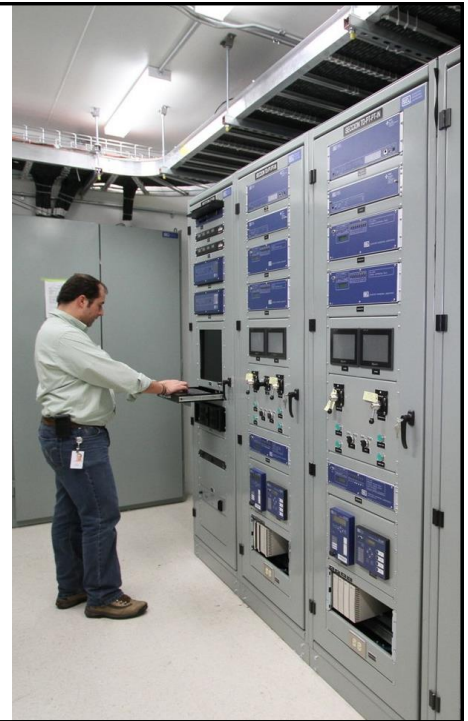
Expose to loss, hazard, or threat



# Threat

*Noun*

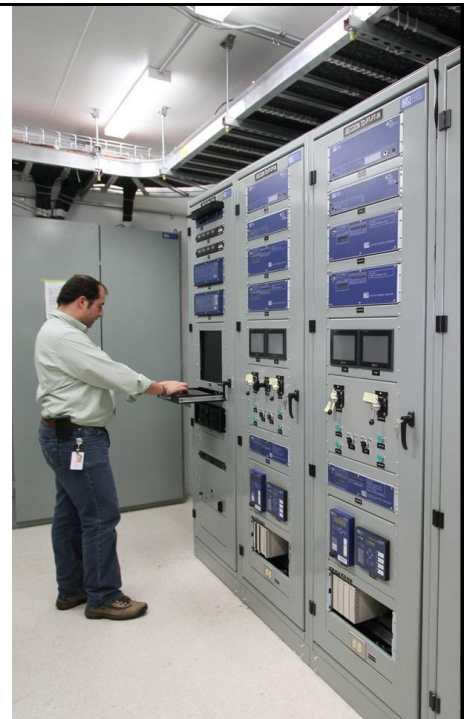
Exploits a vulnerability/weakness  
in a system to cause damage or loss



# Vulnerability

*Noun*

Weakness or defect in a system  
making it susceptible to a  
threat agent, increasing risk





# Exposure

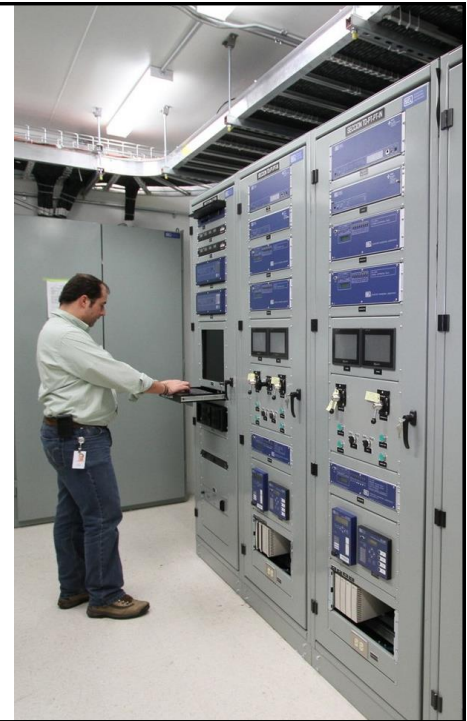
*Noun*

Subjected or revealed to another

# Exploit

*Noun*

Mechanism to employ a flaw or weakness



# Mitigation

*Noun*

Alleviates a vulnerability or deters a threat reducing risk



## Risk – term used in

- Finance and economics
- Insurance
- Life Safety
- Organizational security

$$\text{Risk} = \text{Loss} * \text{Likelihood}$$



## No silver bullet in risk or cyber

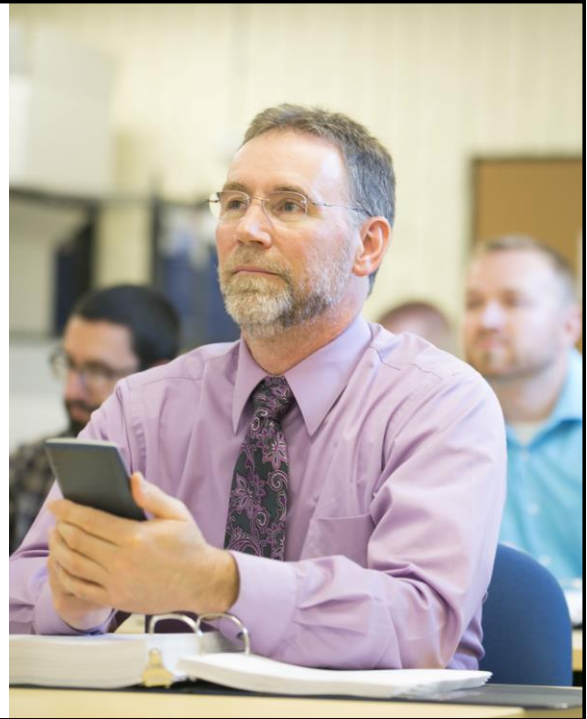
**Silver bullet** – something that provides an immediate and extremely effective solution to a given problem or difficulty, especially one that is normally very complex or hard to resolve



Every action to reduce risk increases the time, talent, and cost for an adversary to reach their end state

## Not just a technology problem

- Note that it is C-Suite driven
- Incorporate importance into plans, policies, and procedures
- Have training and awareness program
- Bypass technology – direct to human



## Never put cyber dollars in a silo

- Realize organizations have competing risks – **all are important!**
- Perform business impact analysis
- Must prioritize all risks and make risk-based decisions
- Recognize competing finite resources of **time** vs. **talent** vs. **dollars**

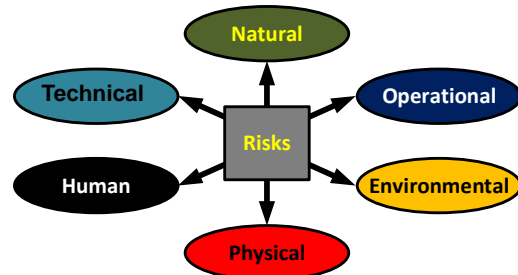
Ultimately, this is a C-Suite decision

Cyber risk makes it difficult to calculate return on investment



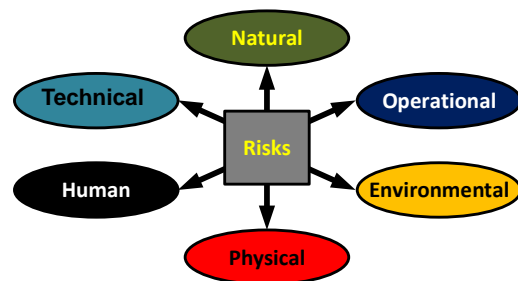
## Organizational risk and threat types

- **Natural:** floods, tornadoes, fires, earthquakes, hurricanes, and lightning strikes
- **Operational:** process and procedure issues
- **Physical:** theft, vandalism, and kinetic attacks
- **Technical:** equipment failures, software failures, malware, and incompatible technologies



## Organizational risk and threat types

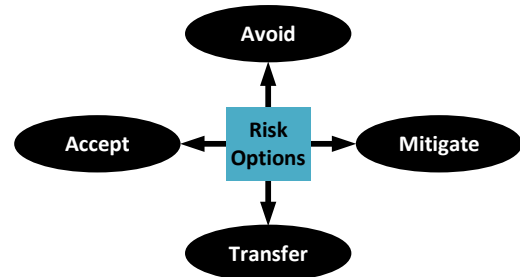
- **Environmental:** road traffic issues, nearby construction, and hazardous material spills
- **Human:** malicious actors, nonmalicious insiders, and terrorists



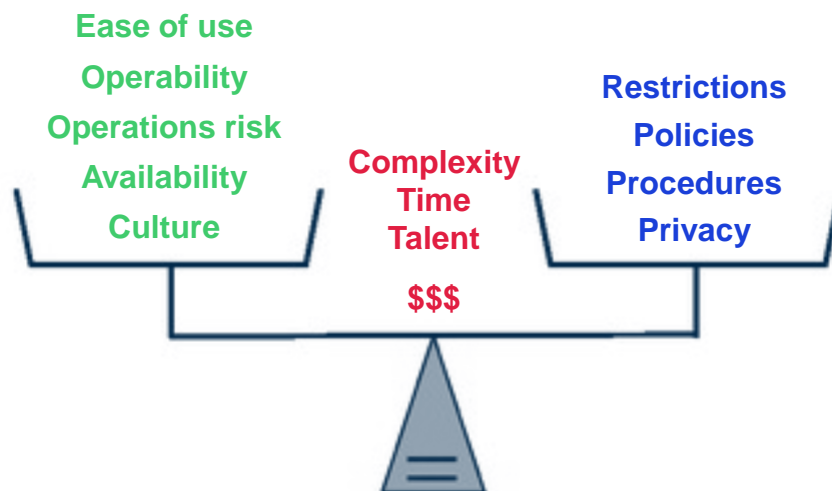
Article available at  
[nola.com/superbowl/index.ssf/2013/02/super\\_bowl\\_blackout\\_caused\\_by.html](http://nola.com/superbowl/index.ssf/2013/02/super_bowl_blackout_caused_by.html)

## Risk mitigations

- **Accept**  
Identify and log risk, but take no action
- **Mitigate**  
Plan compensating controls to reduce risk
- **Avoid**  
Change plans completely to avoid risk
- **Transfer**  
Transfer impact or management of risk



## Usability vs. security vs. cost



## European TSO case study

		100 Substations
Implement	Substations	15,118,000
	Information control systems	3,633,200
	Office systems	7,264,800
	<b>Total</b>	<b>26,016,000</b>
Maintain (software)	Substations	2,087,250
	Information control systems	388,040
	Office systems	1,276,240
	<b>Total</b>	<b>3,751,530</b>
Maintain (labor)	Substations	696,000
	Information control systems	180,000
	Office systems	389,000
	<b>Total</b>	<b>1,265,000</b>

**€26M Design to commission**


**€5M Maintenance and labor**


Cost of implementing cybersecurity is based on EU Emerging Security Standards (2015)


In a well-designed system, the **adversary** must get **EVERYTHING** done perfectly to not get caught and reach an impact




## Final thoughts

 Risk is always evolving and requires continuous monitoring and improvement

 Risk decisions must be made with finite amount of time, money, and people

 Risk must be looked at holistically (**never** put cyber in a silo)

 Including security in design reduces total cost of ownership and significantly reduces most risk



**Threat landscape –  
continuous understanding and monitoring**

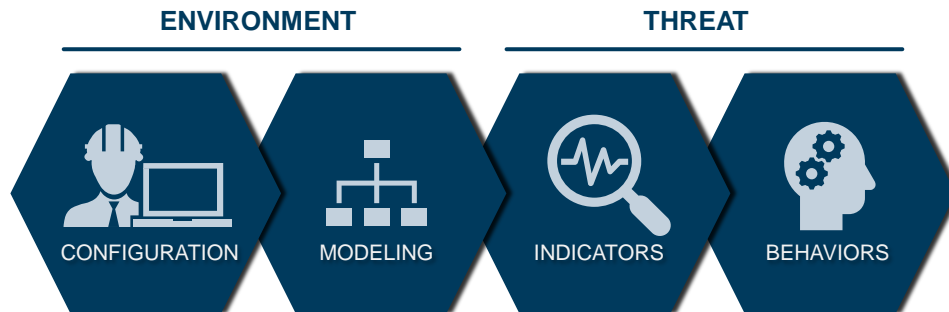
## Digital transformation of industrial control system has increased risk to civilization



Information technology (IT) methods  
jeopardize operational technology (OT)  
control systems



## The four types of threat detection



## ICS/OT cybersecurity challenges



### Asset visibility

What's on my network? How has it changed over time?



### Threat visibility

Am I compromised? How do I respond?



### Limited personnel and skillsets

What training do we need?  
How do I converge IT and OT?



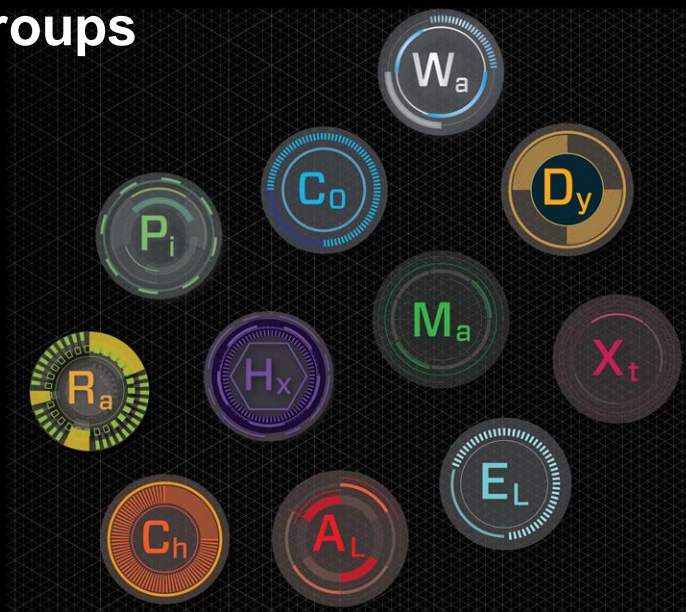
Dragos  
WorldView



Threat  
Operations  
Center

Dragos platform

## Threat Activity Groups



# MITRE ATT&CK™ FOR ICS

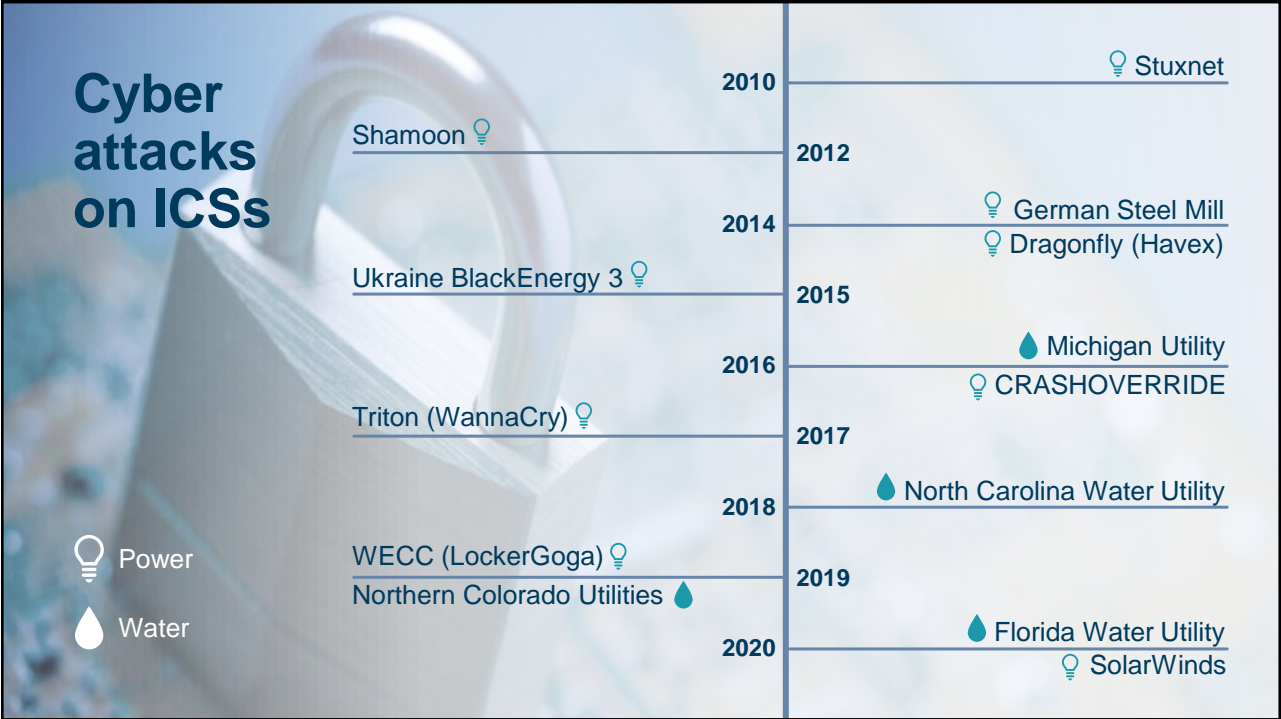
Activity Group	Common Tactic	Mitre ATT&CK ICS Designation Number
ALLANITE	Point and Tag Identification for Collection	T852
CHRYSENE	Scripting for Execution	T853
COVELLITE	Spearphishing Attachments for Initial Access	T865
DYMALLOY	Screen Capture for Collection	T852
ELECTRUM	Wiper to Inhibit Response Function	T809
HEXANE	User Interaction for Execution	T863
MAGNALIUM	Loss of View	T829
PARISITE	Exploitation of Remote Services	T866
RASPITE	Drive-by Compromise for Initial Access	T817
WASSONITE	Valid Accounts for Persistence	T859
XENOTIME	Safety Engineering Workstation Compromise	T818



## MITRE ATT&CK Framework

Initial Access	Execution	Persist.	Evasion	Discovery	Lateral Movement	Collection	Command & Control	Inhibit Response	Impair Control	Impact
Data Historian Compromise	Change Program State	Hooking	Exploitation for Evasion	Control Device Identification	Default Credentials	Automated Collection	Commonly Used Port	Activate Firmware Update Mode	Brute Force I/O	Damage to Property
Drive-by Compromise	Command-Line Interface	Module Firmware	Indicator Removal on Host	I/O Module Discovery	Exploitation of Remote Services	Data from Information Repositories	Connection Proxy	Alarm Suppression	Change Program State	Denial of Control
Engineering Workstation Compromise	Execution through API	Program Download	Masquerading	Network Connection Enumeration	External Remote Services	Detect Operating Mode	Standard Application Layer Protocol	Block Command Message	Masquerading	Denial of View
Exploit Public-Facing Application	Graphical User Interface	Project File Infection	Rogue Master Device	Network Service Scanning	Program Organization Units	Detect Program State		Block Reporting Message	Modify Control Logic	Loss of Availability
External Remote Services	Man in the Middle	System Firmware	Rootkit	Network Sniffing	Remote File Copy	I/O Image		Block Serial COM	Modify Parameter	Loss of Control
Internet Accessible Device	Program Organization Units	Valid Accounts	Spoof Reporting Message	Remote System Discovery	Valid Accounts	Location Identification		Data Destruction	Module Firmware	Loss of Productivity and Revenue
Replication Through Removable Media	Project File Infection		Utilize/Change Operating Mode	Serial Connection Enumeration		Monitor Process State	Denial of Service	Program Download	Loss of Safety	
Spearphishing Attachment	Scripting					Point & Tag Identification	Device Restart/Shutdown	Rogue Master Device	Loss of View	
Supply Chain Compromise	User Execution					Program Upload	Manipulate I/O Image	Service Stop	Manipulation of Control	
Wireless Compromise						Role Identification	Modify Alarm Settings	Spoof Reporting Message	Manipulation of View	
						Screen Capture	Modify Control Logic	Unauthorized Command Message	Theft of Operational Information	
							Program Download			
							Rootkit			
							System Firmware			
							Utilize/Change Operating Mode			

In a well-designed system, the **adversary** must get **EVERYTHING** done perfectly to not get caught and reach an impact



## Operational threat – no plan resulted in inability to secure assets

Source: [news.bloomberglaw.com](https://www.bloomberglaw.com/news/2021/02/10/water-plant-cyberattack-is-wake-up-call-20-years-in-the-making)

### Water Plant Cyberattack Is Wake Up Call, 20 Years in the Making

BY JAKE HOLLAND AND BOBBY MAGILL

Feb. 10, 2021, 2:00 AM



## Standards, policies, and procedures

### Policy, process, and procedures

- Note that it is C-Suite driven
- Incorporate importance into plans, policies, and procedures
- Have training and awareness program
- Bypass technology – direct to human





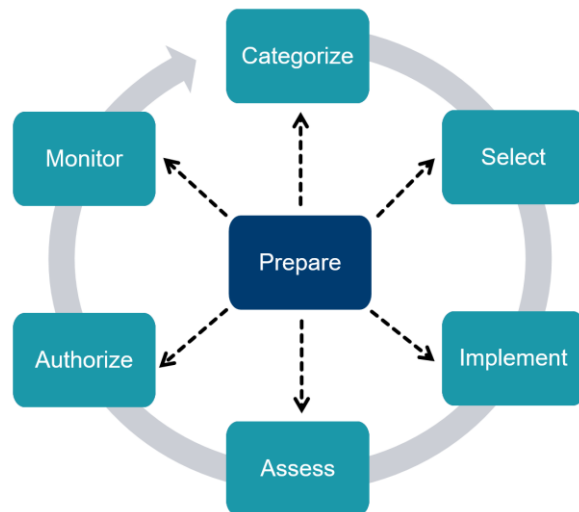
## Process – series of related tasks

**Processes** are structured steps designed to accomplish the objective of meeting the stated policy

### Process example

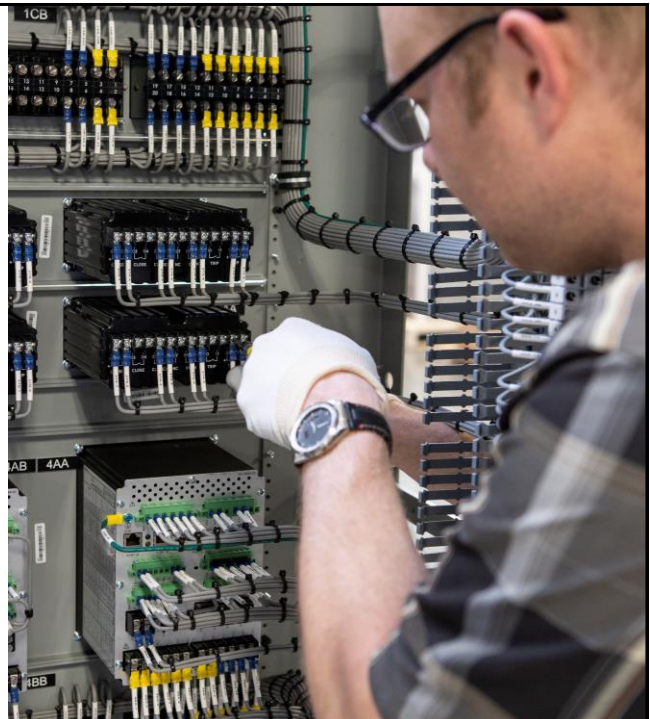
Organization risk management process

- Identify systems
- Select security controls
- Implement controls
- Assess controls
- Authorize controls
- Monitor controls



## Procedure – prescriptive and repeatable

**Procedures** are step-by-step work instructions of the process



**Before regulation,  
you need standards  
and frameworks**

**Before standards  
and frameworks,  
you need innovation**



## Standards – specific requirements

**Standards** define security requirements and serve as guide for how to comply with requirements

CIP-010-2 — Cyber Security — Configuration Change Management and Vulnerability Assessments

**B. Requirements and Measures**

**R1.** Each Responsible Entity shall implement one or more documented process(es) that collectively include each of the applicable requirement parts in *CIP-010-2 Table R1 – Configuration Change Management*. [Violation Risk Factor: Medium] [Time Horizon: Operations Planning].

**M1.** Evidence must include each of the applicable documented processes that collectively include each of the applicable requirement parts in *CIP-010-2 Table R1 – Configuration Change Management* and additional evidence to demonstrate implementation as described in the Measures column of the table.

CIP-010-2 Table R1 – Configuration Change Management			
Part	Applicable Systems	Requirements	Measures
1.1	<p>High Impact BES Cyber Systems and their associated:</p> <ol style="list-style-type: none"> <li>1. EACMS;</li> <li>2. PACS; and</li> <li>3. PCA</li> </ol> <p>Medium Impact BES Cyber Systems and their associated:</p> <ol style="list-style-type: none"> <li>1. EACMS;</li> <li>2. PACS; and</li> <li>3. PCA</li> </ol>	<p>Develop a baseline configuration, individually or by group, which shall include the following items:</p> <ol style="list-style-type: none"> <li>1.1.1. Operating system(s) (including version) or firmware where no independent operating system exists;</li> <li>1.1.2. Any commercially available or open-source application software (including version) intentionally installed;</li> <li>1.1.3. Any custom software installed;</li> </ol>	<p>Examples of evidence may include, but are not limited to:</p> <ul style="list-style-type: none"> <li>• A spreadsheet identifying the required items of the baseline configuration for each Cyber Asset, individually or by group; or</li> <li>• A record in an asset management system that identifies the required items of the baseline configuration for each Cyber Asset, individually or by group.</li> </ul>

# NERC CIP standards

Available at  
[nerc.com](http://nerc.com)

CIP Critical Infrastructure Protection (92)			
Subject to Future Enforcement (5)			
CIP-005-6	Cyber Security — Electronic Security Perimeter(s)	Related Information	Subject to Future Enforcement
CIP-008-6	Cyber Security — Incident Reporting and Response Planning		Subject to Future Enforcement
CIP-010-3	Cyber Security — Configuration Change Management and Vulnerability Assessments	Related Information	Subject to Future Enforcement
CIP-012-1	Cyber Security — Communications between Control Centers		Subject to Future Enforcement
CIP-013-1	Cyber Security - Supply Chain Risk Management	Related Information	Subject to Future Enforcement
Subject to Enforcement (11)			
CIP-002-5.1a	Cyber Security — BES Cyber System Categorization	Related Information	Subject to Enforcement
CIP-003-8	Cyber Security — Security Management Controls		Subject to Enforcement
CIP-004-6	Cyber Security - Personnel & Training	Related Information	Subject to Enforcement
CIP-005-5	Cyber Security - Electronic Security Perimeter(s)	Related Information	Subject to Enforcement
CIP-006-6	Cyber Security - Physical Security of BES Cyber Systems	Related Information	Subject to Enforcement
CIP-007-6	Cyber Security - System Security Management	Related Information	Subject to Enforcement
CIP-008-5	Cyber Security - Incident Reporting and Response Planning	Related Information	Subject to Enforcement
CIP-009-6	Cyber Security - Recovery Plans for BES Cyber Systems	Related Information	Subject to Enforcement
CIP-010-2	Cyber Security - Configuration Change Management and Vulnerability Assessments	Related Information	Subject to Enforcement
CIP-011-2	Cyber Security - Information Protection	Related Information	Subject to Enforcement
CIP-014-2	Physical Security	Related Information	Subject to Enforcement

## Regulations – mandatory government requirements

**Regulations** define cybersecurity requirements mandated by a government body and required compliance by law, for the system to operate

To ensure systems are complying to these requirements, there are periodic compliance audits



ISA-62443



ISO/IEC 2700x  
IEC 62443



Cybersecurity Framework  
SP800-53, SP800-82



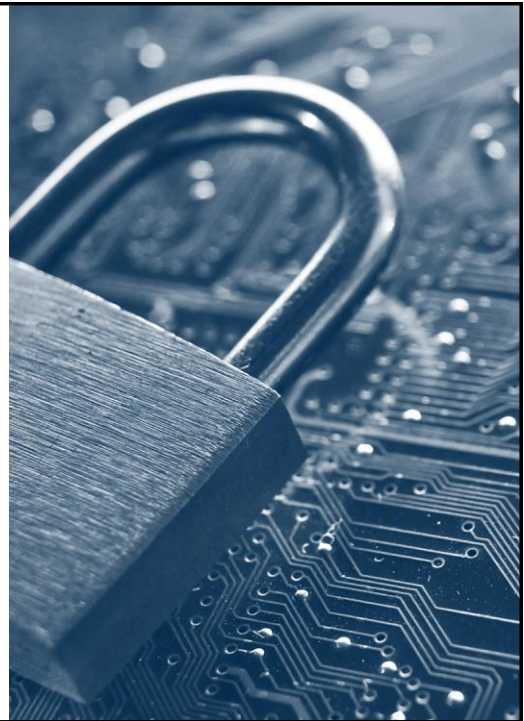
Center for  
Internet Security

20 Critical Security Controls

## Guidelines – recommendations

**Guidelines** provide other suggestions and recommendations but are not prescriptive

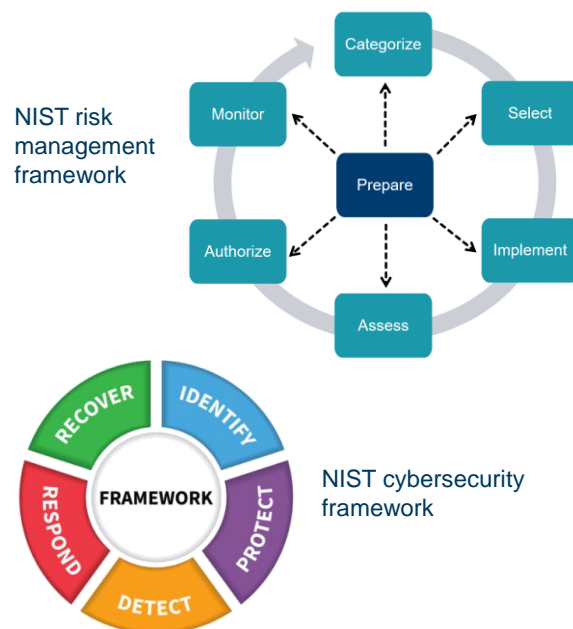
Using guidelines, you can add additional stringent controls



## Frameworks – overall security program guide

**Cybersecurity frameworks** are sets of standards and best practices put together to help mission-critical infrastructures achieve cybersecurity and resiliency goals

**Example**  
NIST Cybersecurity Framework  
[nist.gov/topics/cybersecurity](https://nist.gov/topics/cybersecurity)  
[nist.gov/cyberframework](https://nist.gov/cyberframework)





<b>Security framework</b>	<b>Identify</b>	Identify baseline system and prioritize risk to implement selected security controls	<b>Risk management</b>
	<b>Protect</b>	Assess implementation and authorize system risks	
	<b>Detect</b>	Monitor continuous monitoring tools	<b>Contingency and incident response</b>
	<b>Respond</b>	Execute plans, policies, and procedures to analyze and contain situation	
	<b>Recover</b>	Eradicate and recover system to previous state	
	<b>Learn</b>	Review event to improve plans, policies, and procedures	



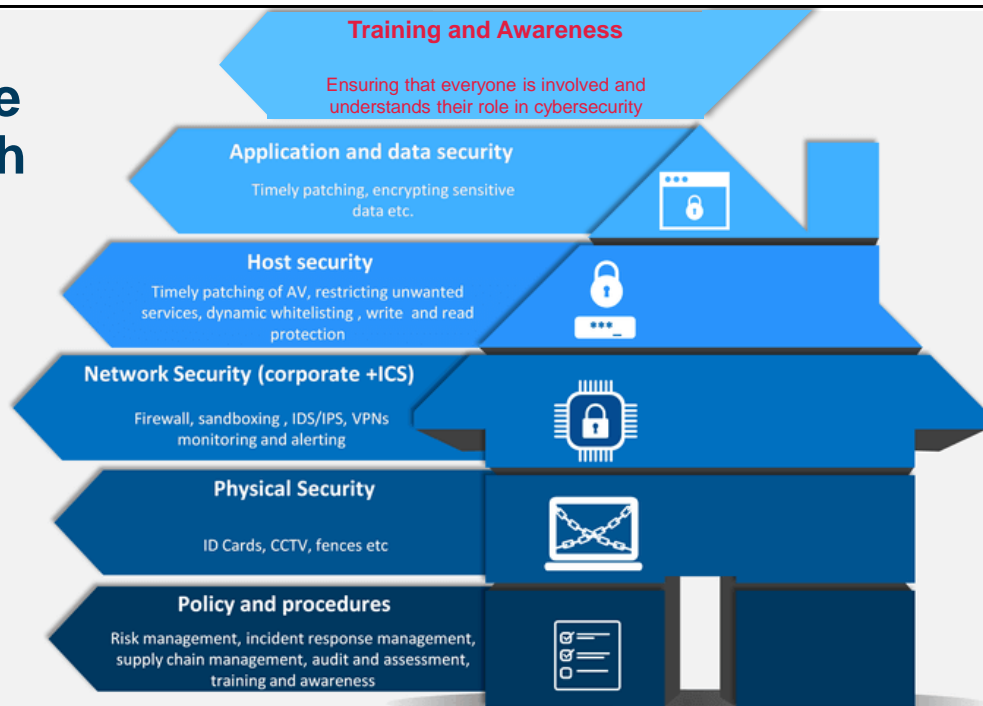
# Security by design

## System security design pillars

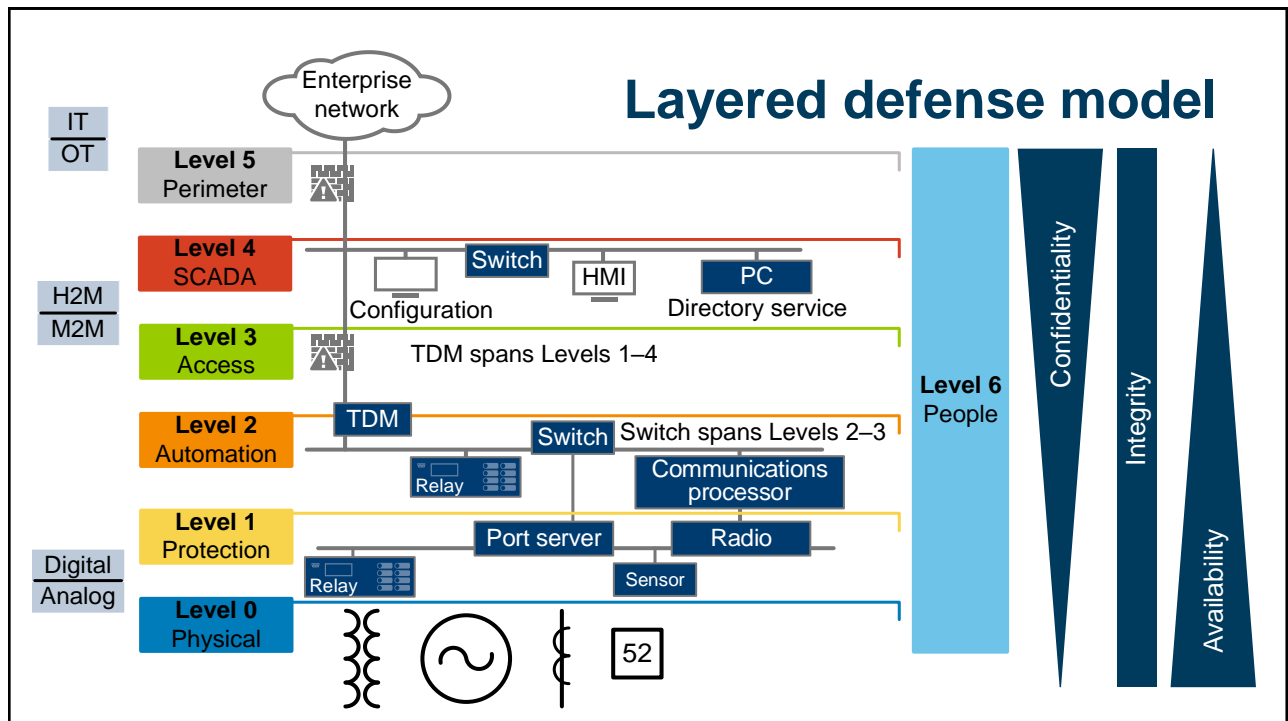
- Physical
- Environmental
- Network
- Devices (hosts)
- Applications
- Data and information
- People
- Supply chain
- Continuous monitoring



## Defense in Depth



## Select a standard or framework



**Go to example system**

## **Categorize system**

### **System failure impact**

- High – catastrophic
- Moderate – serious adverse effect
- Low – limited adverse effect



## Categorize system impact levels

Levels	Confidentiality	Integrity	Availability
Perimeter	High	Moderate	Low
SCADA	High	Moderate	Moderate
Access	Moderate	Moderate	Moderate
Automation	Low	Moderate	High
Protection	Low	Moderate	High
Physical	Low	Moderate	High

## NIST 800-53 R5 security controls

**Security controls** are meant to be

- Measurable
- Repeatable
- Inheritable

# Cybersecurity framework

Function	Category	Subcategories	Security control reference
Identify	Asset Management (ID.AM)	Physical devices (ID.AM-1)	<ul style="list-style-type: none"> <li>▪ CCS 1, CSC 1</li> <li>▪ COBIT 5 BAI09.01, BAI09.02</li> <li>▪ IEC 62443-3-3:2013 SR 7.8</li> <li>▪ ISO / IEC 27001:2013 A.8.1.1, A.8.1.2</li> <li>▪ NIST SP 800-53 R4 CM-8</li> </ul>
		Software platforms (ID.AM-2)	<ul style="list-style-type: none"> <li>▪ CCS 2, CSC 2</li> <li>▪ COBIT 5 BAI09.01, BAI09.02</li> <li>▪ ISA 62443-3-3:2013 SR 7.8</li> <li>▪ ISO / IEC 27001:2013 A.8.1.1, A.8.1.2</li> <li>▪ NIST SP 800-53 R4 CM-8</li> </ul>
		Etc. (ID.AM-x)	

## CM-8 security control

- Organization defines and implements asset inventory system
- Impact levels are low, moderate, and high

**9 security controls**  
**Asset inventory**

## Example CM-8 (1) security control

- Organization updates asset inventory system as integral part of asset commissioning, updating, and decommissioning
- Impact levels are moderate and high



## Example CM-8 (2) security control

- Organization employs automated mechanism to keep asset inventory system updated
- Impact levels are moderate and high



# NIST SP 800-53 R4 security control mapping

■ Directly maps   
 ■ Indirectly maps   
 ■ Not applicable

Model level	Category	Security control	Control relevance		
Level 6 People	Asset management (ID.AM-1)	NIST SP 800-53 R4 CM-8 component inventory	6	3	0
Level 5 Perimeter			1	7	1
Level 4 SCADA	Physical devices and systems within organization are inventoried		2	6	1
Level 3 Access			1	7	1
Level 2 Automation			7	2	0
Level 1 Protection			0	8	1
Level 0 Physical			0	1	8

# Cybersecurity framework

Function	Category	Subcategories	Security control reference
Protect	Identity management and access control (PR.AC)	Identities and credentials (PR.AC-1)	<ul style="list-style-type: none"> <li>▪ CCS 1, CSC 1</li> <li>▪ COBIT 5 DSS05.04, DSS06.03</li> <li>▪ ISA 62443-3-3:2013 SR 1.1...SR 1.9</li> <li>▪ ISO / IEC 27001:2013 A.9.2.1...A.9.2.6</li> <li>▪ NIST SP 800-53 R4 AC-1, AC-2, IA-1...IA-11</li> </ul>
		Physical access (PR.AC-2)	<ul style="list-style-type: none"> <li>▪ COBIT 5 DSS01.04, DSS05.05</li> <li>▪ ISA 62443-3-3:2013 4.3.3.3.2, 4.3.3.3.8</li> <li>▪ ISO / IEC 27001:2013 A.11.1...A.11.2.8</li> <li>▪ NIST SP 800-53 R4 PE-2...PE-8</li> </ul>
		Etc. (PR.AC-x)	



## IA-5 security control

- Organization manages system credentials for authentication
- Impact levels are low, moderate, and high

**14 security controls**  
**Identification and authorization**

## IA-5 (1) security control

- Organization enforces minimum password complexity
- Impact levels are low, moderate, and high



## IA-5 (5) security control

- Organization requires integrators to create unique credentials in place of asset defaults before or at time of commissioning
- Impact levels are moderate and high



## NIST SP 800-53 R4 security control mapping

■ Directly maps   
 ■ Indirectly maps   
 ■ Not applicable

Model level	Category	Security control	Control relevance		
Level 6 People	Identity management and access control (PR.AC-6)  Identities are proofed and bound credentials	NIST SP 800-53 R4 IA-5 authenticator management	7	3	4
Level 5 Perimeter			3	6	5
Level 4 SCADA			6	3	5
Level 3 Access			3	4	7
Level 2 Automation			5	5	3
Level 1 Protection			2	4	8
Level 0 Physical			0	0	14

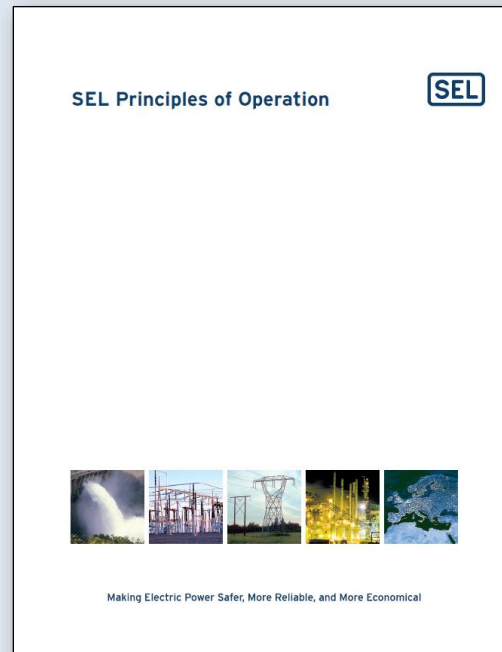
<b>Security framework</b>	<b>Identify</b>	Identify baseline system and prioritize risk to implement selected security controls	<b>Risk management</b>
	<b>Protect</b>	Assess implementation and authorize system risks	
	<b>Detect</b>	Monitor continuous monitoring tools	<b>Contingency and incident response</b>
	<b>Respond</b>	Execute plans, policies, and procedures to analyze and contain situation	
	<b>Recover</b>	Eradicate and recover system to previous state	
	<b>Learn</b>	Review event to improve plans, policies, and procedures	



## Supply chain security

# Security

**Must** be foundation of organization's DNA



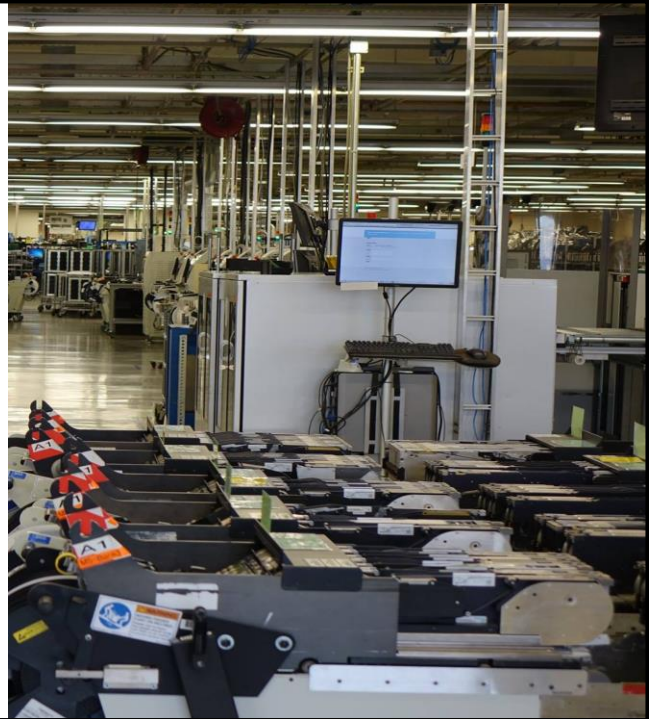
# Securing your supply chain

Essential component of complete cybersecurity program



## Quality = security

- Industry presence
- Customer trust
- Warranty
- Reliability indicators
- Return and repairs
- Technical support
- Quality assurance  
[selinc.com/support/warranty/](http://selinc.com/support/warranty/)



## Nurture trusted supplier partnerships

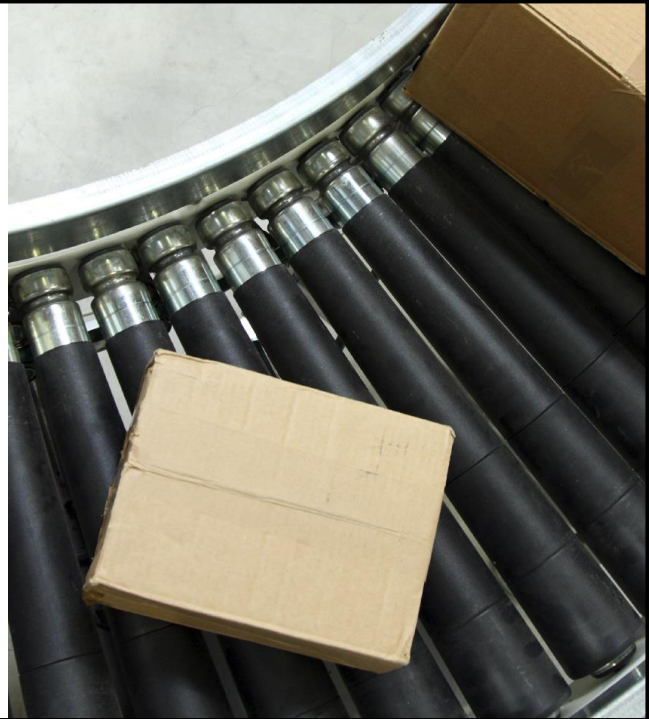
- Use holistic approach to supplier evaluation
- Trust but verify
- Pursue redundancy whenever possible
- Cultivate lasting supplier relationships





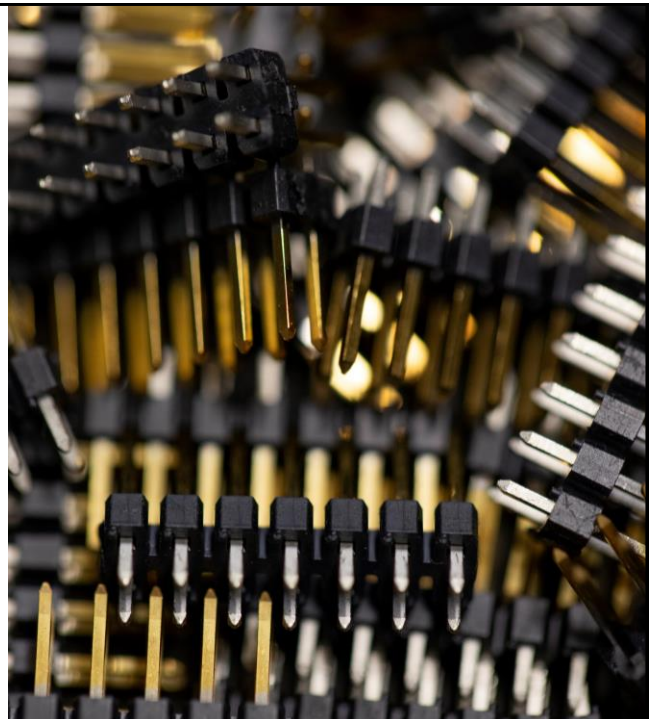
## Continuous supply chain assessment

- Analyze business and threat intelligence
- Assess suppliers based on risk
- Scrutinize shipping services
- Use multiple vertices



## Component integrity assurance

- Verify vendor security practices and processes
- Qualify and continuously test each component
- Procure directly from manufacturer if possible
- Examine to verify authenticity



# Verification of software integrity and authenticity

- Protection products continuously verify software integrity and disable themselves if corruption is detected
- Control products whitelist applications at the kernel level
- FW/SW is digitally signed
- FW/SW can be authenticated by reference hash values published on SEL website

check the authenticity and integrity of firmware by digital signature verification during the firmware upgrade process.

SEL provides firmware hashes as an additional tool to verify the integrity of the firmware file. The process is complete and unaltered prior to sending the firmware to the SEL device.

Use this page to verify that the firmware file in your possession is a known good file. Select the file type in your possession with the hash value provided on this website by selecting the file type.

If a product or firmware version is not available from this list, the firmware file is not supported. For more information on firmware hash values for other file types, please contact [SEL Technical Support](#).

### Firmware Hashes for

SEL-T400L

Revision	Type	Hash
R103-V0	zds	SHA-1 <input type="text" value="COPY"/> b42986288e8de9a50a1
		SHA-256 <input type="text" value="COPY"/> 848d6a60ff20d9d528
R102-V0	zds	SHA-1 <input type="text" value="COPY"/> 75e104b6e146365b65c
		SHA-256 <input type="text" value="COPY"/> 505e8eaa158fdc6c8f9

# Contracting language

- Typical language seen
  - “sibre” in Appendix Z
  - Multiple frameworks
  - No security control overlay
- Security controls selected by end user during design process
- Balance between cost vs. usability vs. security
- Secure by design but with options to “dial” cyber



**Guide to the Distributed Energy Resources Cybersecurity Framework**  
 Charles Powell, Konrad Hauk, Anuj Sanghvi, Adarsh Hasambika, Joshua Van Natta, and Tami Reynolds  
 National Renewable Energy Laboratory

NREL is a national laboratory of the U.S. Department of Energy, Office of Energy Efficiency & Renewable Energy, operated by the Alliance for Sustainable Energy, LLC. This report is available at no charge from the National Renewable Energy Laboratory (NREL) at [www.nrel.gov/pubs](http://www.nrel.gov/pubs).



**Guideline for the Electricity Sector**

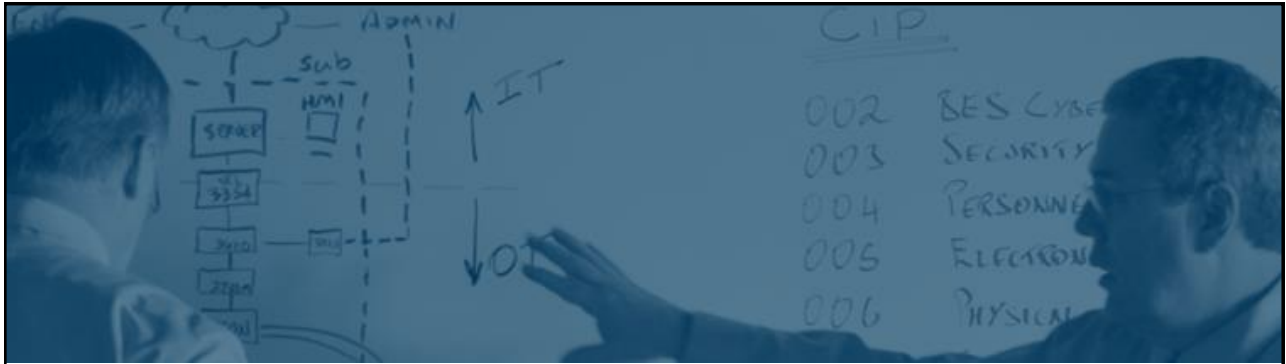
1. Supply Chain Procurement Language  
 2. The objective of this guideline is to develop key practices and information requirements for the electricity sector to ensure a higher level of security and resilience in the electricity sector.  
 3. This guideline is intended to be used by the electricity sector to inform the development of their own cybersecurity practices and information requirements.  
 4. This guideline is intended to be used by the electricity sector to inform the development of their own cybersecurity practices and information requirements.  
 5. This guideline is intended to be used by the electricity sector to inform the development of their own cybersecurity practices and information requirements.  
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 39. This guideline is intended to be used by the electricity sector to inform the development of their own cybersecurity practices and information requirements.  
 40. This guideline is intended to be used by the electricity sector to inform the development of their own cybersecurity practices and information requirements.



**Guide on Cybersecurity Procurement Language in Task Order Requests for Proposals for Federal Facilities**  
 May 2019  
 Michael Myhra, JA Roberts, Di Nelli Gupta Gourtsell

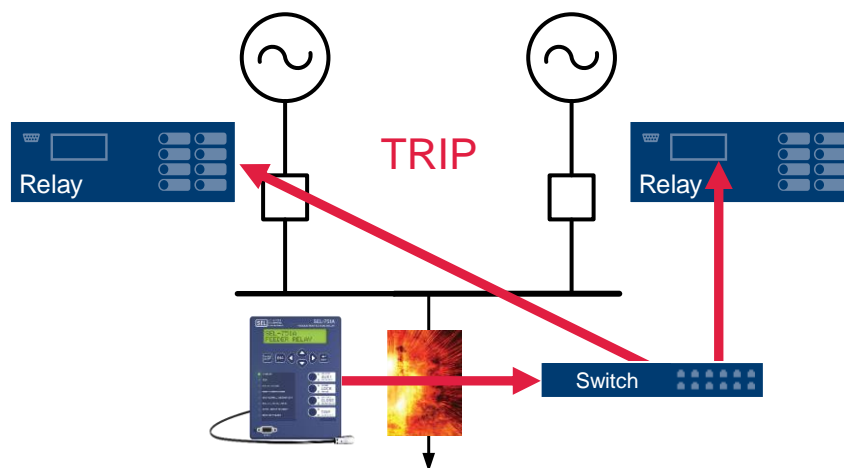


**Model Procurement Contract Language Addressing Cybersecurity Supply Chain Risk**  
 Version 2.0  
 May 2020



## SEL OT SDN discussion

**Critical systems require reliable, robust, and cybersecure networks**



## SEL SDN

### Software-Defined Networking for OT



Improve cybersecurity by allowlisting network flows

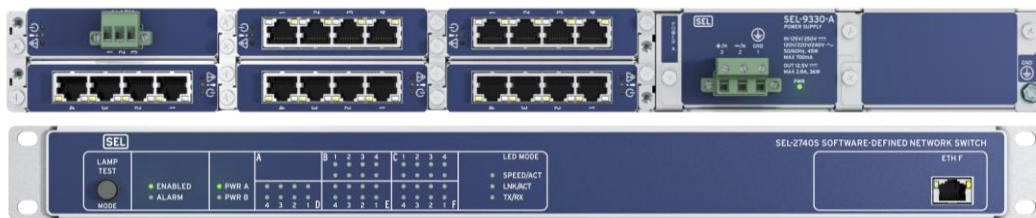
Achieve failover times 100x faster than traditional networking

More precisely control network traffic in substations and FRCS

Automate data collection for security auditing

## SEL-2740S SDN Switch

- Flexible 4-port Ethernet module options
- IEEE 1613 compliance
- KEMA certification
- $-40^{\circ}$  to  $+85^{\circ}\text{C}$  operating range
- Dual power supplies



## SEL-2742S SDN Switch

- 12 ports, including 2 PoE+ ports
- DIN-rail or surface mounting capability
- IEEE 1613 compliance
- -40° to +85°C operating range
- Dual power sources



## Getting to know SDN terminology

### Flow

Single communications session that matches ingress rule and has set of forwarding instructions

### OpenFlow

Open-source standard defining protocol for interoperable way that switches and flow controller communicate for configuration and monitoring purposes

### Flow controller

Central controller that programs switch flow tables



# How SDN works

## Match fields

Match rule based on portion of Ethernet packet

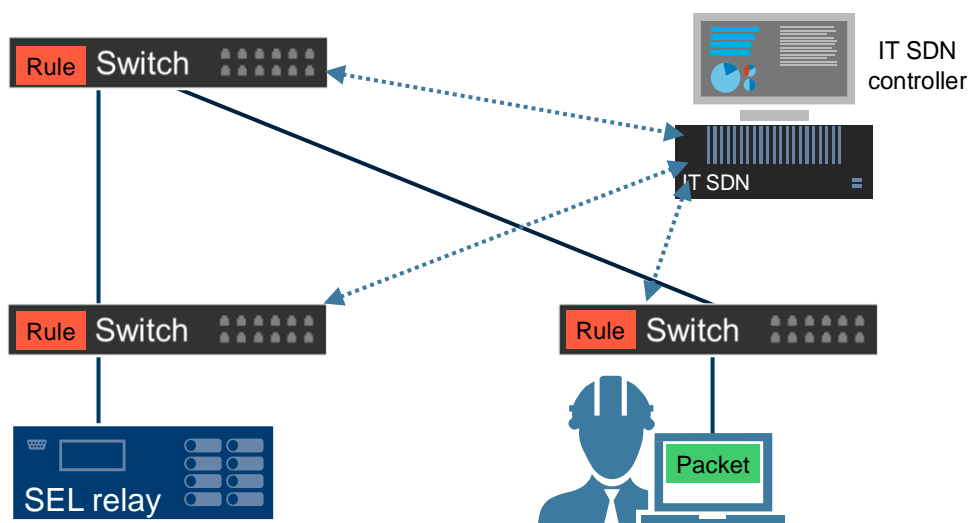
## Instructions

Perform one or more (groups) programmed actions

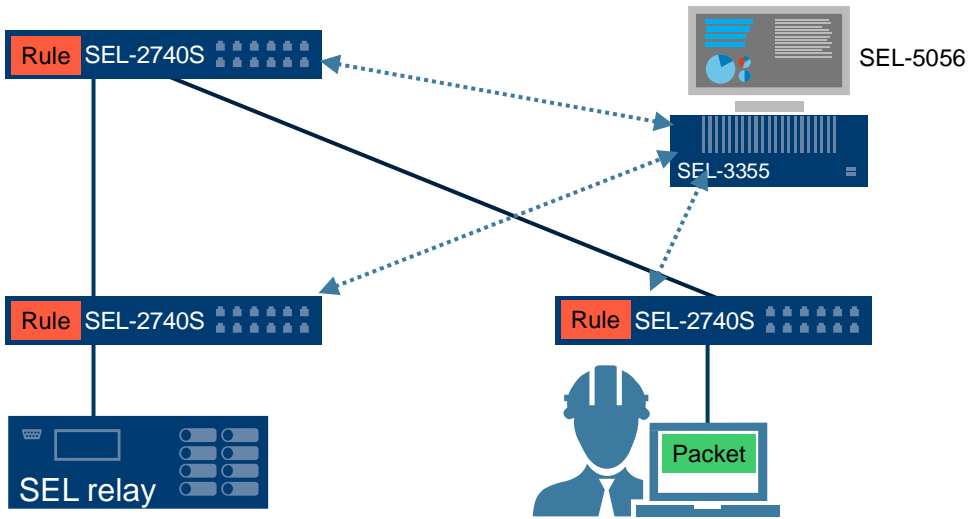
## Counters

Increment counters and send counter data to centralized point

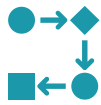
# Reactive SDN in operation – typical IT SDN



## Proactive SDN in operation – SEL OT SDN



## Flow programming



Manual entry



Logical connections

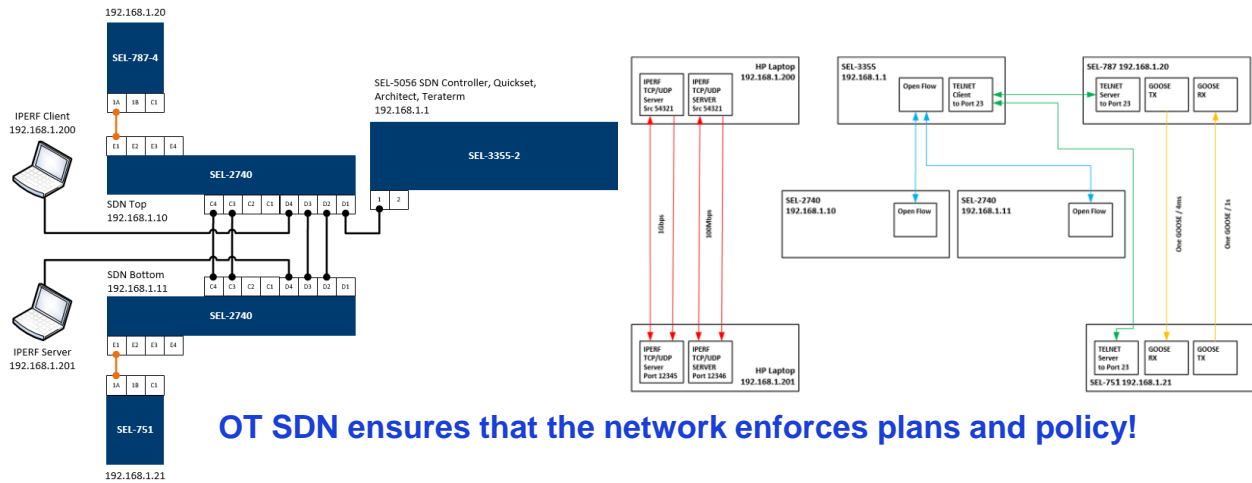


Scripting via RESTful API

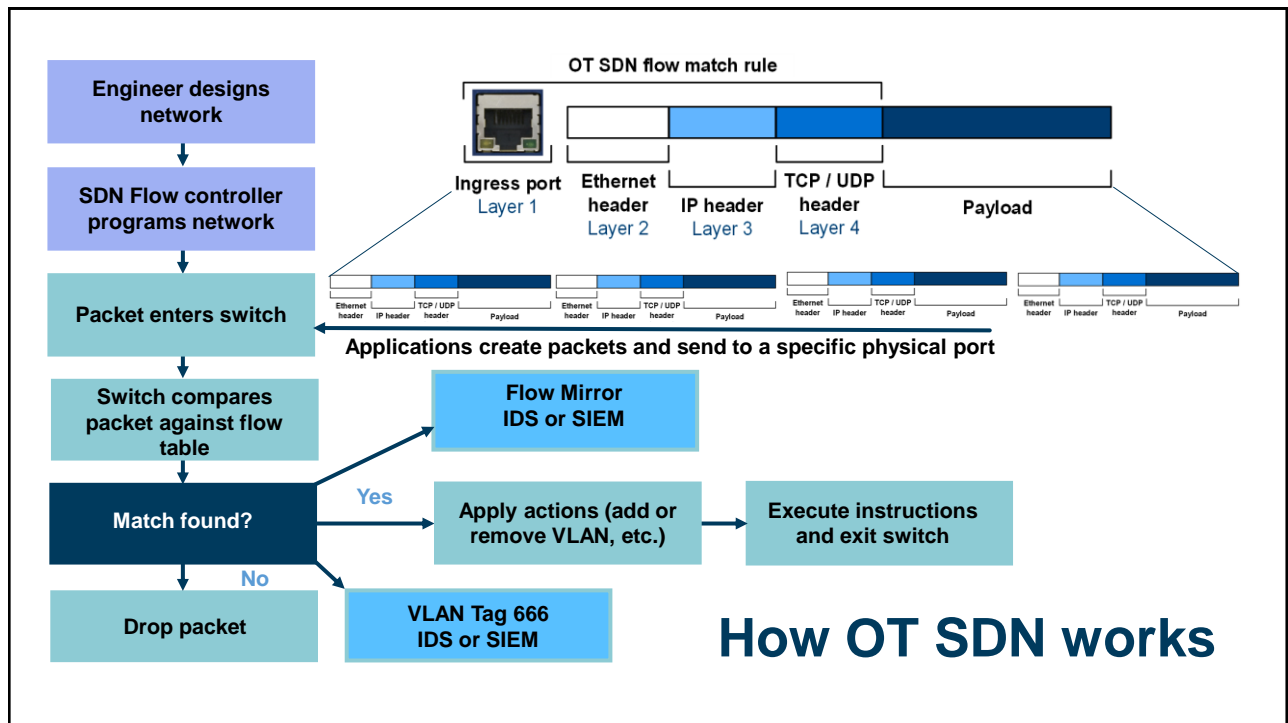


Learn & Lock

# Network diagram + dataflow diagram = baseline and asset management



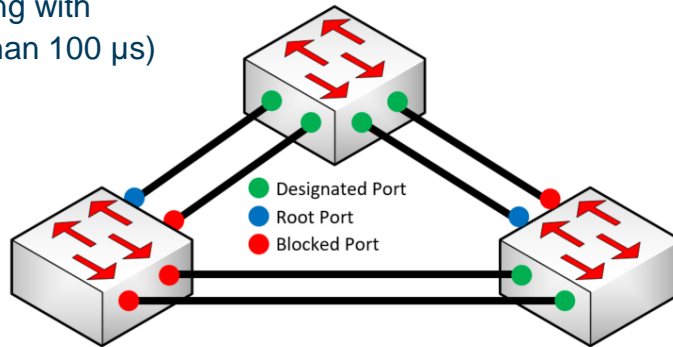
OT SDN ensures that the network enforces plans and policy!



How OT SDN works

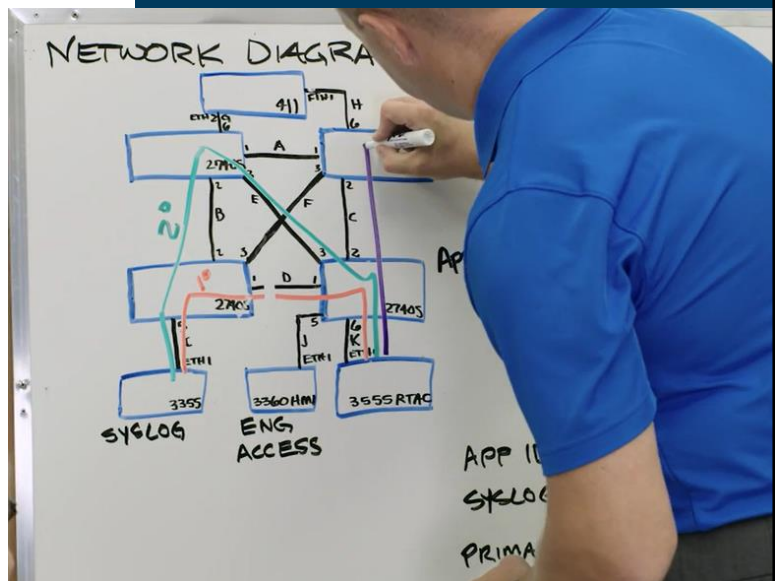
# SDN is two orders of magnitude faster!

- Traditional RSTP does not meet the needs of protection traffic (~20 to 100 ms)
- Preplanned traffic engineering with OT SDN fast failover (less than 100  $\mu$ s)



## SDN simplifies how networks are engineered

- Removal of network restrictions
- Removal of plug-and-play
- Freedom to traffic-engineer for your application



## SEL SDN performance

Failover times under 100  $\mu$ s vs. 10–30+ ms for traditional networks (for GOOSE, process bus, and arc flash)

Greater ability to manage substation networks

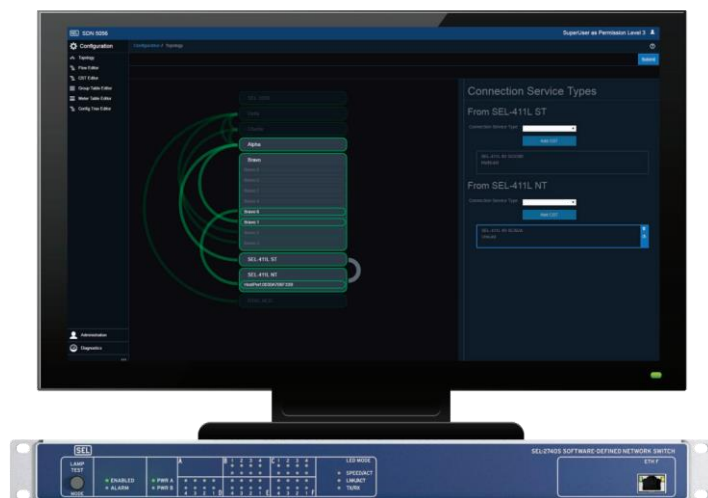
Unlike RSTP switches, no blocked ports to limit bandwidth



## LAN security prevents plug-and-play services

Performance

Cybersecurity





## Securing networks with OT SDN – only allow data you want onto your network

- Ethernet assumes trust
- OT SDN requires preapproval
- Security is part of every switch
- Fewer security network devices are required



## SEL SDN benefits – cybersecurity and network management



### Improved cybersecurity

Employs deny-by-default approach

Eliminates attack-prone elements of traditional networking (MAC tables, RSTP, and broadcast / multicast)

Uses Syslog event logging through controller or switches



### Automated data collection for security auditing



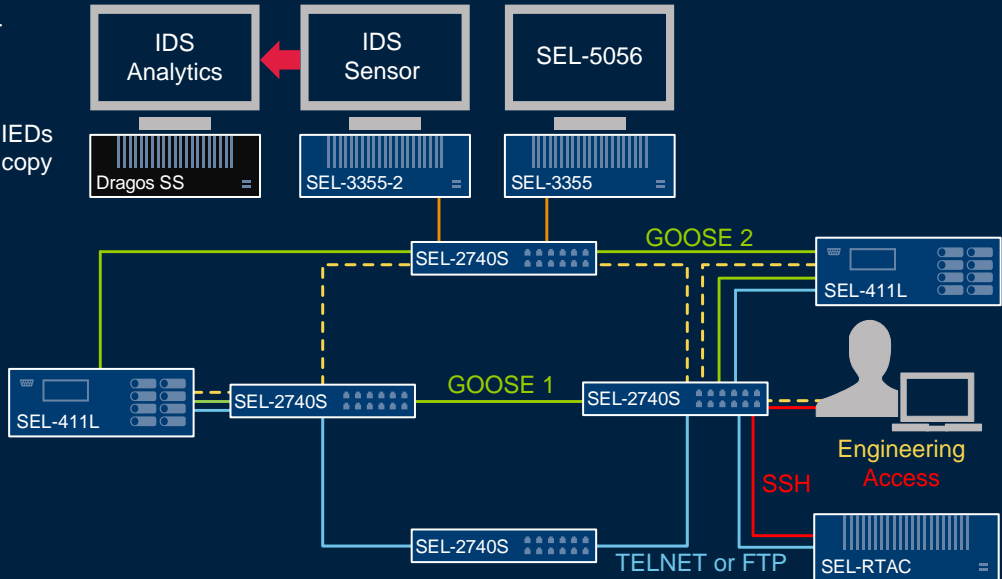
### Centralized management of switches



## Encrypt insecure protocols, but send PT to Dragos

Customer SSH or TLS into security gateway or RTAC

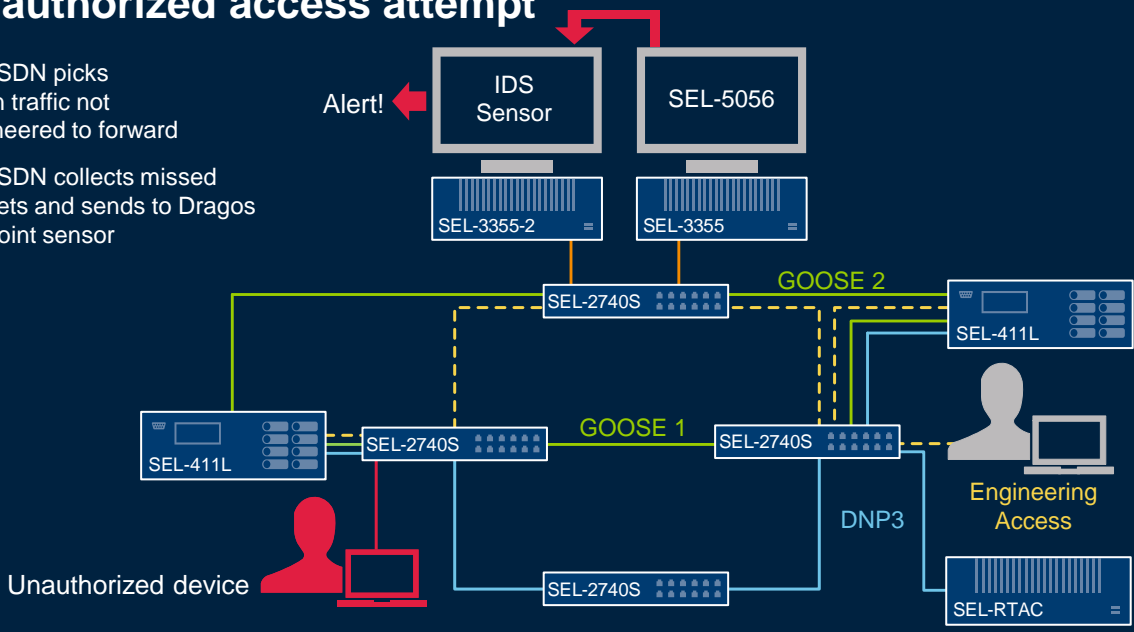
RTAC talks PT to IEDs giving Dragos PT copy of traffic



## Unauthorized access attempt

SEL SDN picks up on traffic not engineered to forward

SEL SDN collects missed packets and sends to Dragos midpoint sensor

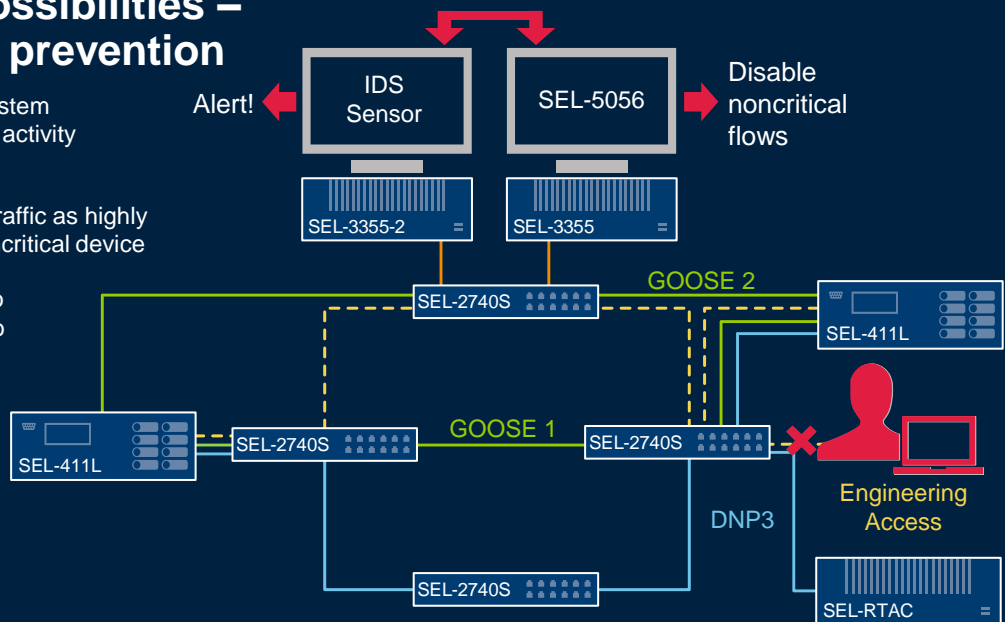


## Future possibilities – intrusion prevention

Noncritical EA system showing unusual activity at 2 a.m.

Dragos triggers traffic as highly suspect from noncritical device

Communicates to ReST Interface to disable EA flows



## Summary of SEL SDN

### Performance

Best in industry for failover performance (<100  $\mu$ s)

### Security

Deny-by-default architecture

### Simplicity

Point-and-click creation or ReST Interface programming of proactive networks with situational awareness



## SEL Blueframe™ platform and applications

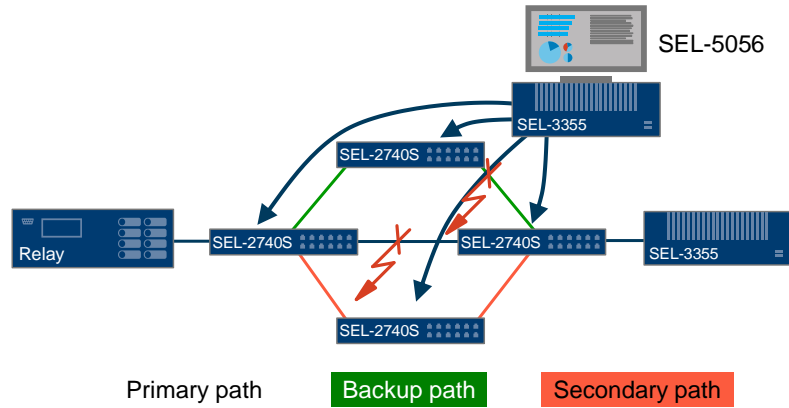
### Ambassador project overview – objectives

- To strengthen cybersecurity for energy delivery systems using proven DOE OT SDN technology, the ambassador project shall research, develop, demonstrate, and productize a joint manufacturer solution capable of managed trust and data sharing between multiple software applications for improving awareness and visualization of utilities' enterprise and OT systems
- Ambassador intends to address CEDS Topic Area 4: Cybersecure Cloud-based Technologies in the Operational Technology (OT) Environment

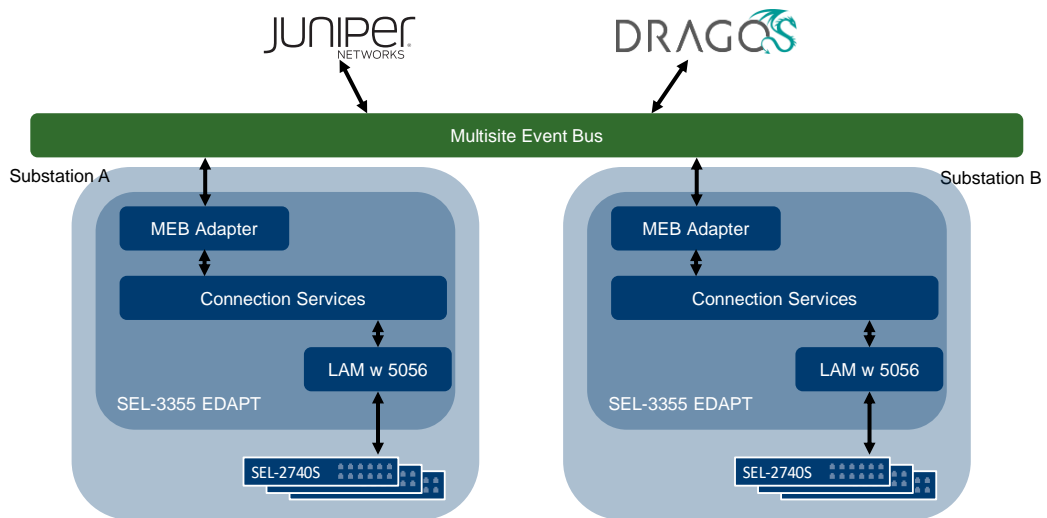




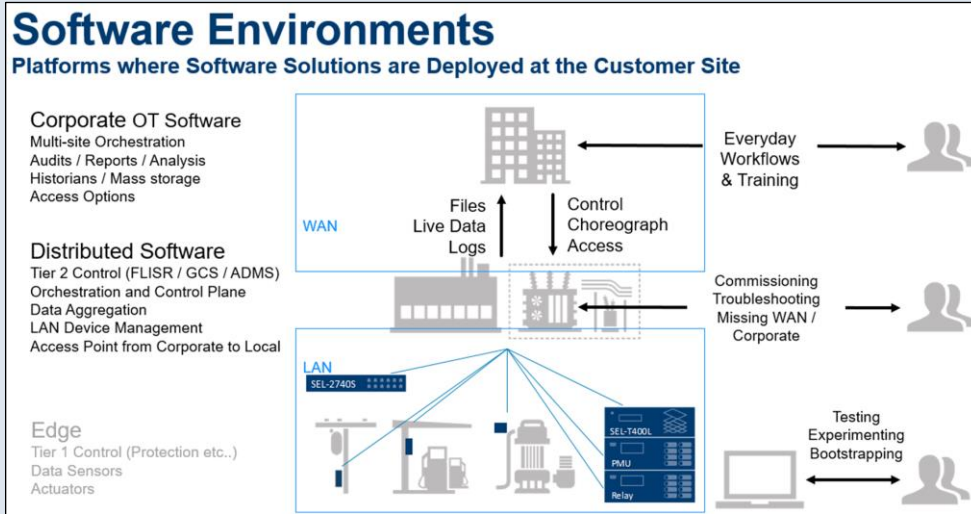
# Today's present SOA – DoE OT SDN



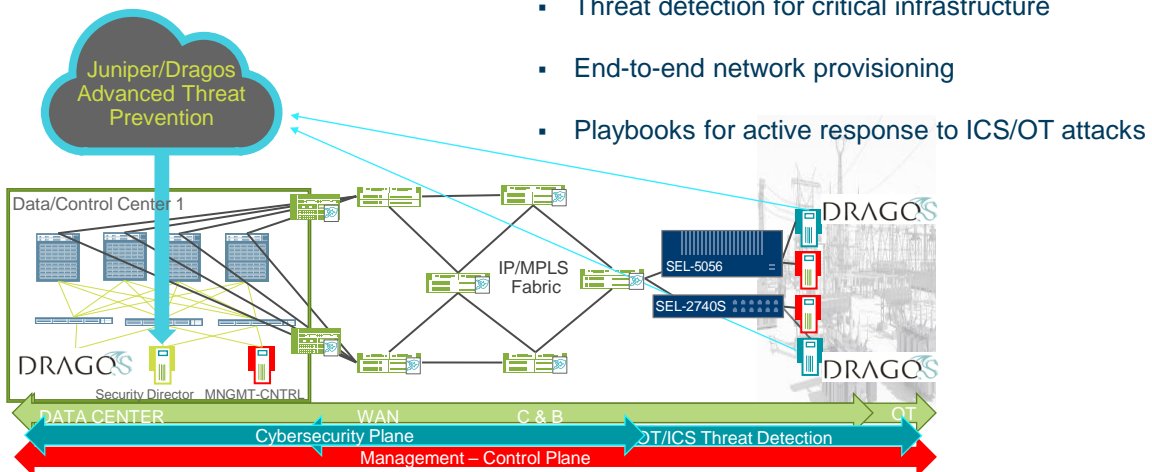
# Advancing the SOA



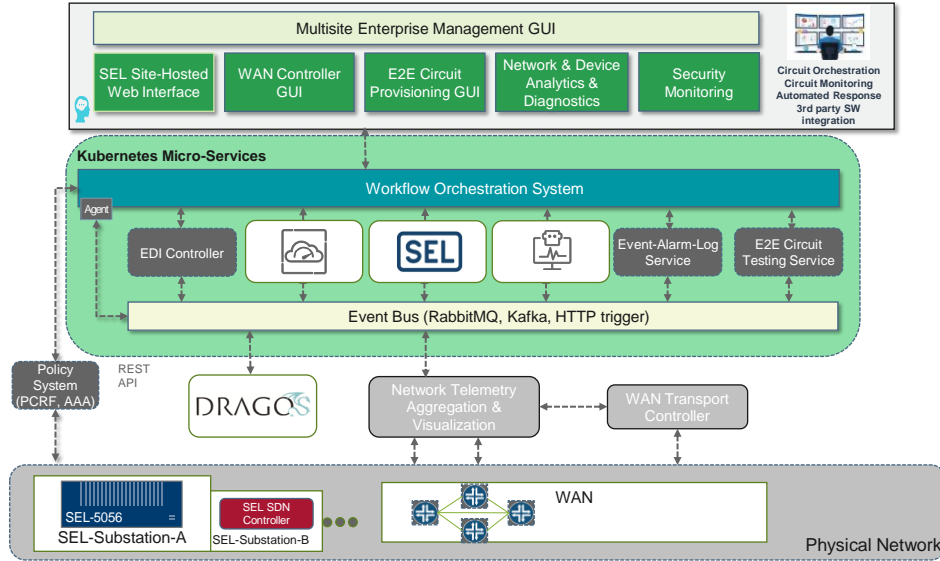
# Today's utility application state



# Full-stack enterprise + OT solution



# IT/OT convergence solutions



## Multisite event bus adapter



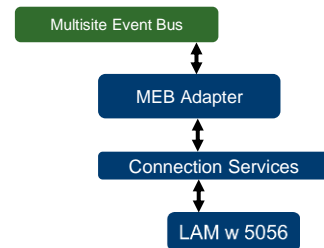
**Authenticate**  
to MEB broker



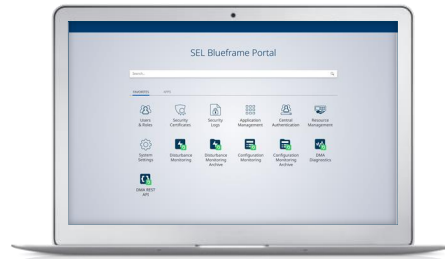
**Translate**  
provisioning and  
teardown requests  
from MEB  
to Connection Services  
model



**Publish**  
configuration  
and diagnostic  
information to MEB  
for enterprise monitoring  
and threat hunting context



## Blueframe application platform



**Secure** – provides safe methods to share information between applications

**Flexible** – allows selection of needed applications and hardware

**Simple** – centralizes access to IED data, permissions, and security parameters

**Scalable** – supports systems of any size

## Data Management and Automation (DMA) applications



Simplify IED data collection with full automation and simple configuration

Secure data collection with single-point controlled access to device data and passwords

Improve data longevity with DMA short-term archiving using publication and API support

Improve data utilization through automated data normalization and single interface for analysis tool access

# Sophisticated systems lead to significant time and effort burdens

## Industry challenge



Complex systems

- Increased system sizes
- Increased system complexity



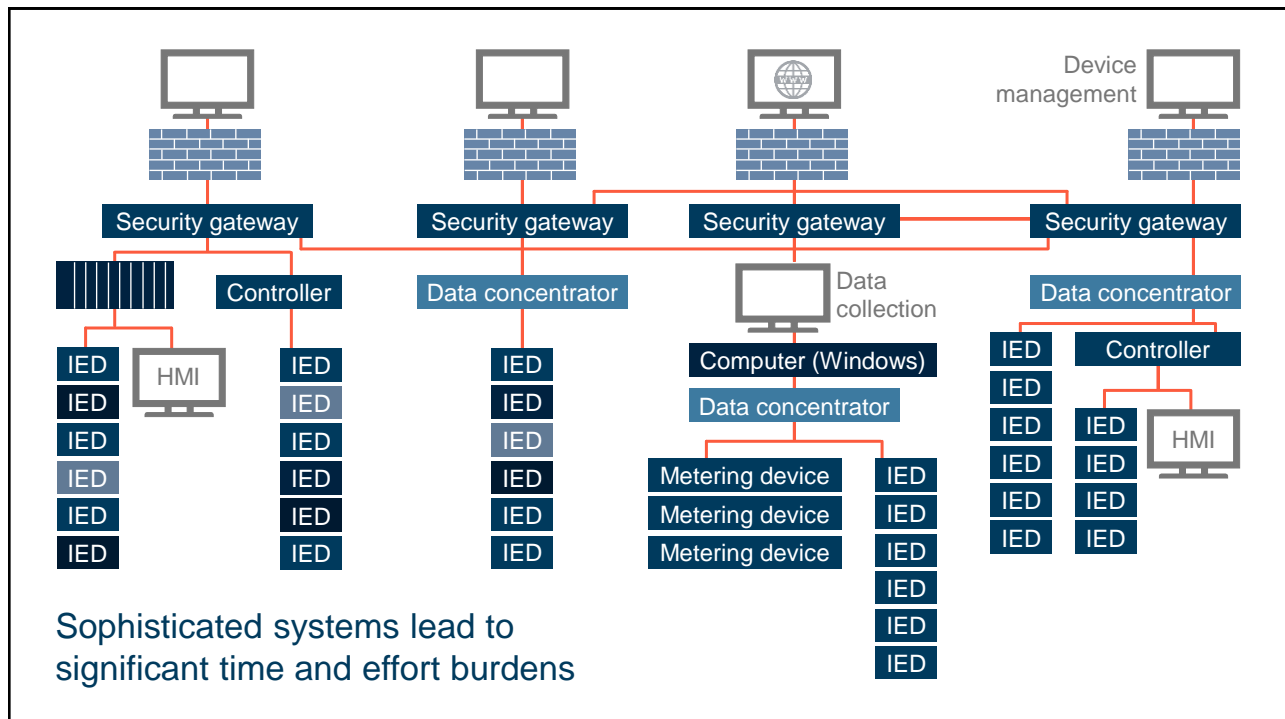
Customization of IT applications to improve security

- Increased risk of disrupting existing applications
- Increased complexity

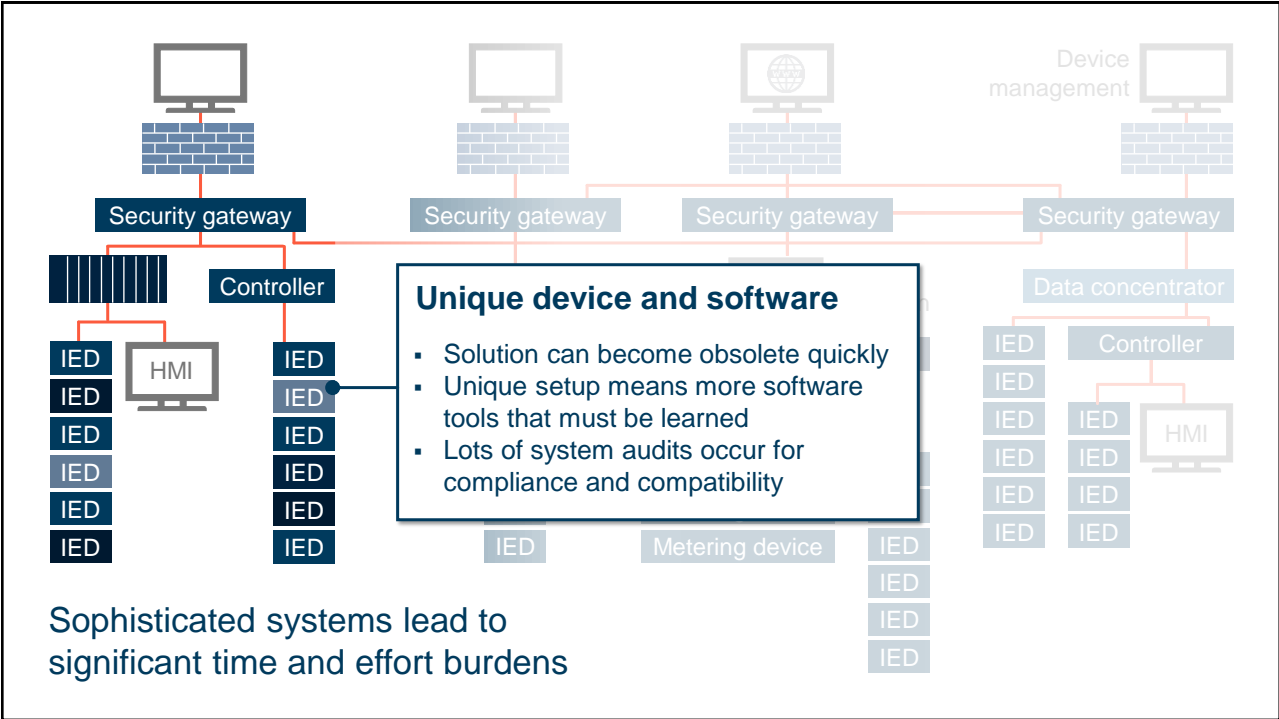
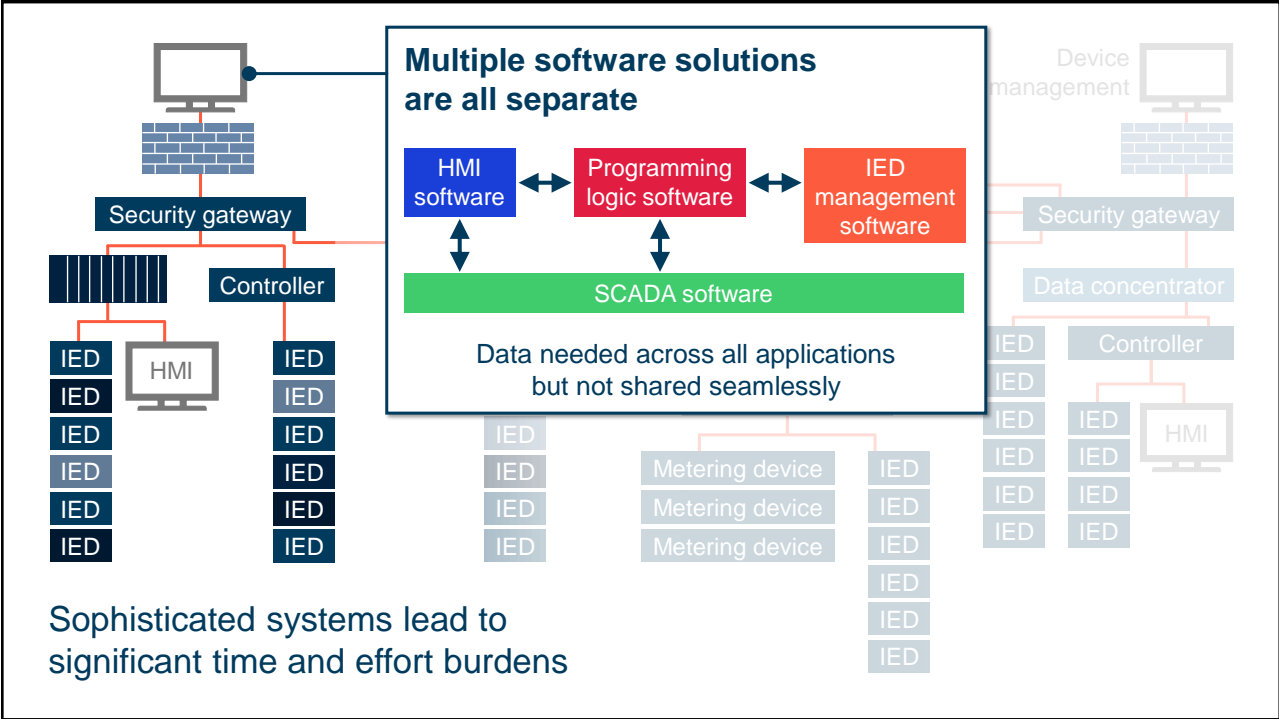


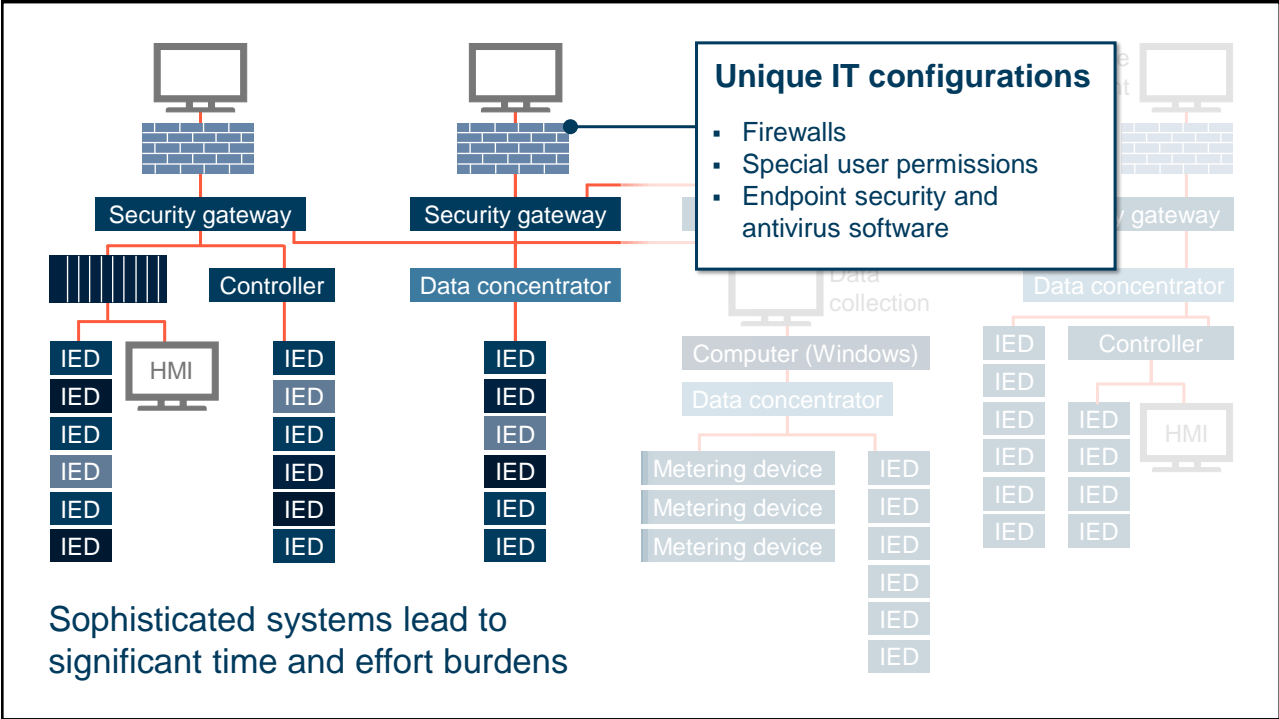
Use of unique hardware and software

- Faster obsolescence
- More compliance work
- More management work









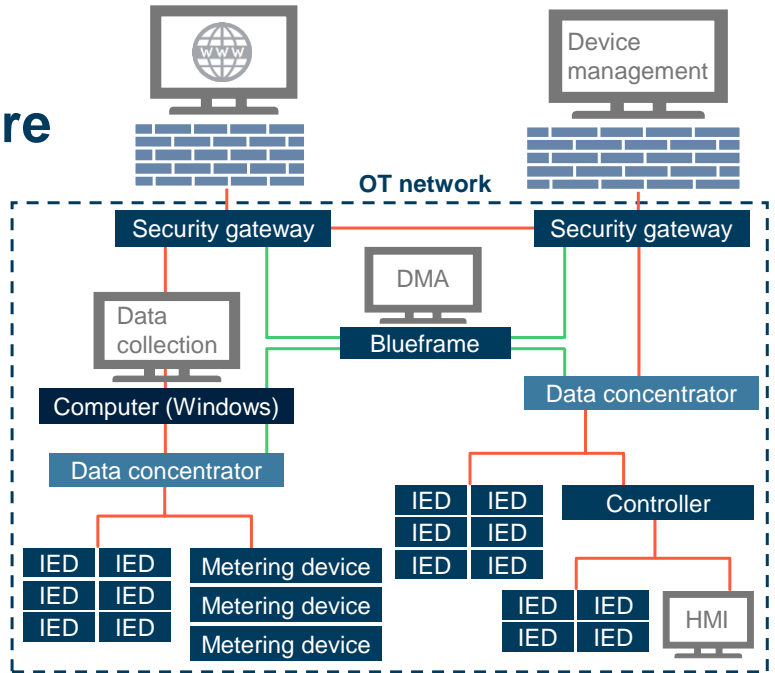
# Blueframe application platform

Embedded, modular OT system for installing SEL and third-party\* applications and for managing and exchanging data between supported applications

\*Coming in Summer 2021

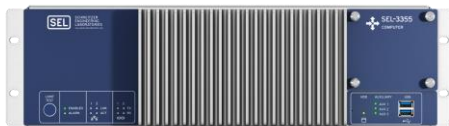
## Consolidate multiple hardware capabilities into single platform

- Improve security by reducing system access points
- Reduce system design complexity
- Reduce maintenance touchpoints



## New application ecosystem from SEL

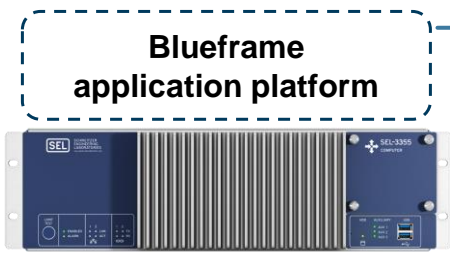
Hardware



Runs embedded on  
SEL automation controllers

- SEL-3350 **New**
- SEL-3355
- SEL-3360

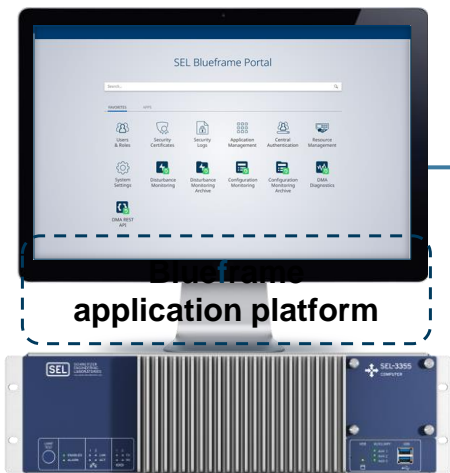
# New application ecosystem from SEL



## Secure platform

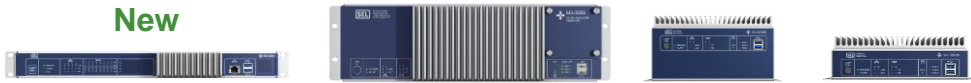
- Intuitive, simple interface
- Enables modular application environment
- Deploys several security methods

# New application ecosystem from SEL



- Management tools
  - User management
  - Resource management
  - Security tools
- Applications
  - Are designed to be modular and independent
  - Share same data subscriptions through platform for security and efficiency

## Flexible automation controller options



	SEL-3350	SEL-3355	SEL-3360E	SEL-3360S
<b>List price</b>	\$2,500*	\$3,670	\$3,780	\$3,260†
<b>Application needs</b>	Midlevel I/O and computation for dedicated embedded applications	Fast processing and server-class capabilities	Powerful computation for surface- or panel-mount spaces	

\* Price excludes OS and storage  
 † Price excludes additional power supply

## SEL-3350 Automation Controller



- Intel Atom x5-E3940 quad-core processor
- 8 GB ECC RAM
- SSD storage
- Hardware accuracy PTP
- Wide temperature range of  $-40$  to  $+85^{\circ}\text{C}$  ( $-40^{\circ}$  to  $+185^{\circ}\text{F}$ )

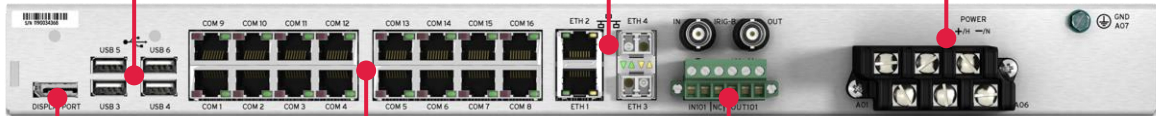


## SEL-3350 features

Four USB 2.0 ports

Four high-speed configurable copper or fiber Gigabit Ethernet ports

Built-in power supplies



DisplayPort monitor

16 built-in RJ45 EIA-232 / EIA-485 ports

Configurable digital / analog input

## Increase security with a need-to-know system

- Whitelisting
- Configurable roles
- User access to system, applications, and data
- Security logs



# Simplify system security

## User roles

- User-defined roles for permissions management
- Customizable role permissions

## Role members

- Easily add or remove users from each role
- Users inherit permissions and access of role

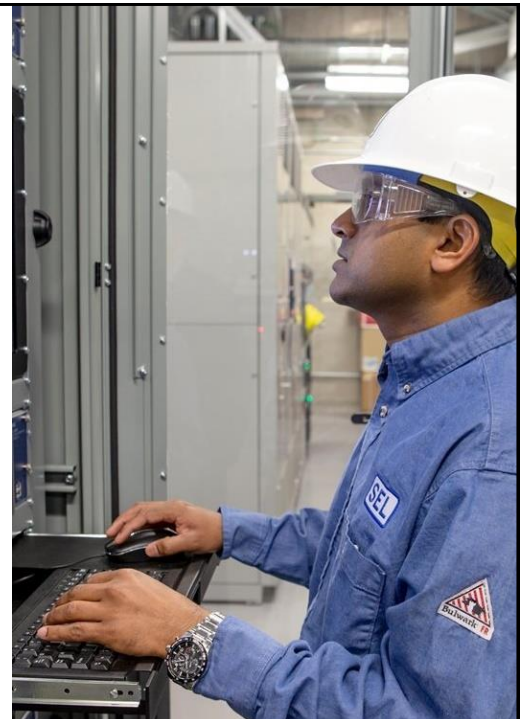
The screenshot displays a 'User Management' application window. The top section shows a table of roles with columns for Role, Members, Created By, Created On, and Description. The roles listed are: Automation Engineer (6 members), Engineer (1 member), Local Access (6 members), Security Administrator (2 members), and Technician (1 member). Below this, the 'Automation Engineer' role is selected, showing a list of role members with their names, roles, and status (Active/Inactive). To the right, the 'Application Accessibility' section shows a table of applications and their permissions for users in the Automation Engineer role.

**Application access**

- Granted per defined role
- Different access options for each application

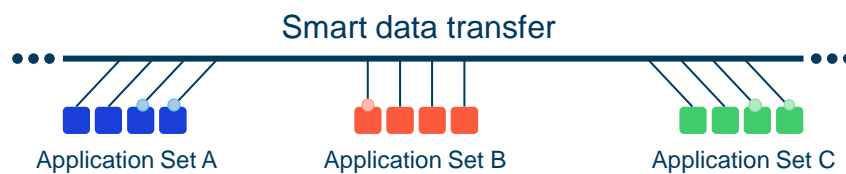
# Centralize different task operations from a single interface

- Manage user access permissions, security parameters, and IED data management
- Customize system functionality with modular applications without adding complexity



## Increase configuration efficiency with common platform architecture

- Secure data sharing
- Save time and efforts
- Reduce input errors



## Modular platform is designed to run multiple applications and tools



**Blueframe platform**

# Centralized system application management

## Application details

- Control your versions
- Verify services
- Review system diagnostics

**Application packages**

- Easy view of installed applications
- Simple addition of new installations and packages as needed

Application Package	Vendor	Installed Version	Package State
connection-services	SEL	1.0.0	Installed
core-services	SEL	1.0.0	Installed
dma-configuration-monitoring	SEL	--	Available
dma-disturbance-monitoring	SEL	--	Available
dma-esp-rp	SEL	--	Available
dma-tools	SEL	--	Available
fire-services	SEL	1.0.0	Installed

**Package Details**

Package: dma-disturbance-mon... Installed Version: -- Installed On: --

Included Applications

- Disturbance Monitoring
- Disturbance Monitoring Archive

Available Versions

Version	Installed	Description
1.0.0		DMA Disturbance Monitoring package

# Standard management tools

- User management
- Resource management
- Security log viewer
- Central authentication
- Certification management
- Application management
- System settings

SEL Blueframe Portal

Search...

FAVORITES APPS

- Users & Roles
- Resource Management
- Application Management
- Central Authentication
- Security Logs
- System Settings
- Security Certificates

## Targeted container applications solve user problems



SEL created platform for developers of different disciplines to continue expanding application solutions



Initial application suite, DMA, targets automated data collection, storage, and availability



Application offerings are continuously developed to solve unique system problems



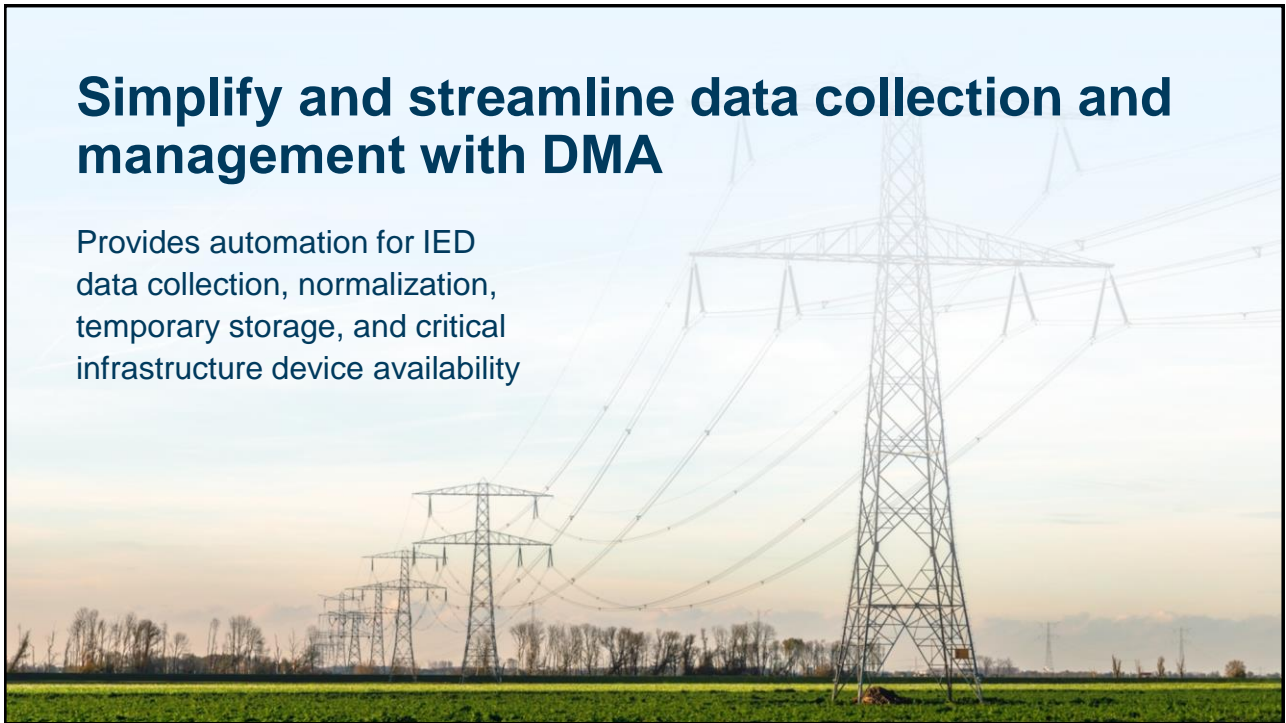
## Data Management and Automation (DMA)

Applications suite



# Simplify and streamline data collection and management with DMA

Provides automation for IED data collection, normalization, temporary storage, and critical infrastructure device availability



## Disturbance Monitoring

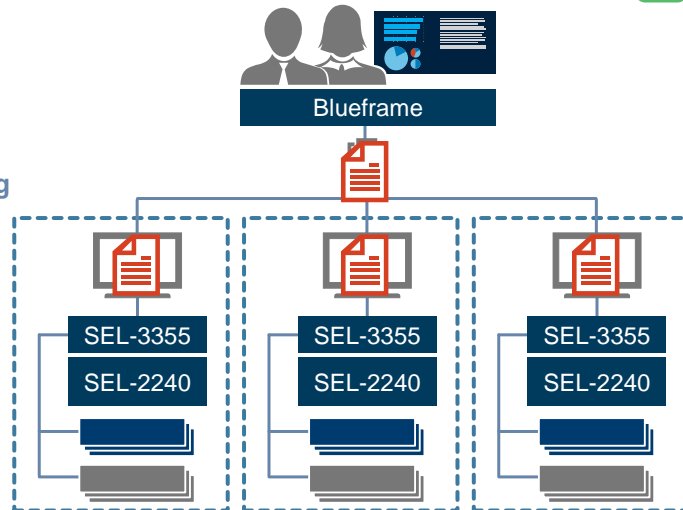
- Automated collection of event reports and SOE information
- RTAC listening support
- Short-term repository with API access
- Custom views of collected data



## Aggregate system incidents



- Oscillography
- SOE
- Direct IED support } Coming soon
- MMS file transfer }



## Expedite system restoration after a fault



- Gather oscillography and SOE information from supported devices
- Utilize RTACs to aggregate and expedite data collection



- View SOE information interspersed with oscillography for global system view



- Aggregate normalized data format
- Securely distribute it for analysis or to historian tools over public APIs

# Configure systems of all magnitudes efficiently



The screenshot shows the 'Disturbance Monitoring' application. On the left, there's a sidebar with 'Collection Plans' selected. The main area displays a table of collection plans:

Collection Plan	Schedule	Report Type	Resources	Change Status
Event Backup Collection	Every hour	Oscillography	2	Up to Date
Event Primary Collection	Every hour	Oscillography	2	Up to Date
SOE Backup Collection	Every hour	SOE	2	Up to Date
SOE Primary Collection	Every hour	SOE	2	Up to Date

On the right, the 'Plan Details' window is open for 'SOE Backup Collection'. It shows a 'Schedule' of 'Every hour' and a 'Resource' section with a search bar and a list of resources: 'BaldMountain\_RTAC' and 'WhiteValley\_RTAC'.

**Collection plan**  
Configure collection of event information for multiple devices in single plan/task

**Resource management**  
Add multiple sources to plan/task to collect specified report type on preferred schedule

# Conveniently view event data for any device from single location



The screenshot shows the 'Disturbance Monitoring Archive' application. The main area displays a table of events:

Resource	Timestamp	Event	Type
SEL-751	2/7/2021, 5:25:44 AM	Trigger	Oscillography
SEL-751	2/7/2021, 3:11:27 AM	Trigger	Oscillography
SEL-751	2/6/2021, 11:07:26 PM	Trigger	Oscillography
SEL-751	2/6/2021, 6:21:15 PM	Trigger	Oscillography
Z2_SEL-421-4	2/6/2021, 2:41:37 PM	TRIG	Oscillography
Z2_SEL-421-4	2/6/2021, 2:40:43 PM	TRIG	Oscillography
Z2_SEL-421-4 (2)	2/7/2021, 3:26:53 AM	TRIG	Oscillography
Z2_SEL-421-4 (2)	2/7/2021, 3:10:20 AM	TRIG	Oscillography
Z2_SEL-421-4 (2)	2/6/2021, 10:54:08 PM	TRIG	Oscillography

On the right, the 'Details' panel is open for 'Resource: SEL-751'. It shows 'ID: 00000000-0004-4000-8000-000000000419', 'Timestamp: 2/7/2021, 5:25:44 AM', 'Event: Trigger', 'Type: Oscillography', and 'Recordings: 2'.

**Resource selection**  
Select multiple sources to view recent system incidents

**Event export**  
View details and export records of interest for detailed analysis

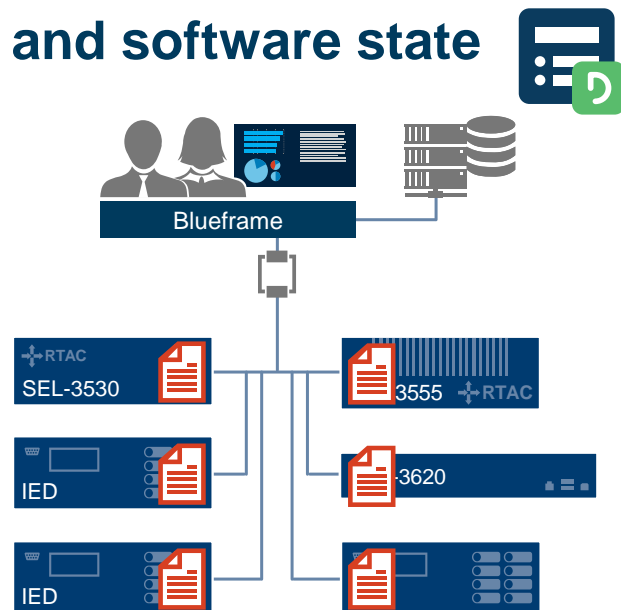
# Configuration Monitoring

- Automated collection of settings data
- Firmware ID version and device identity version collection and viewing



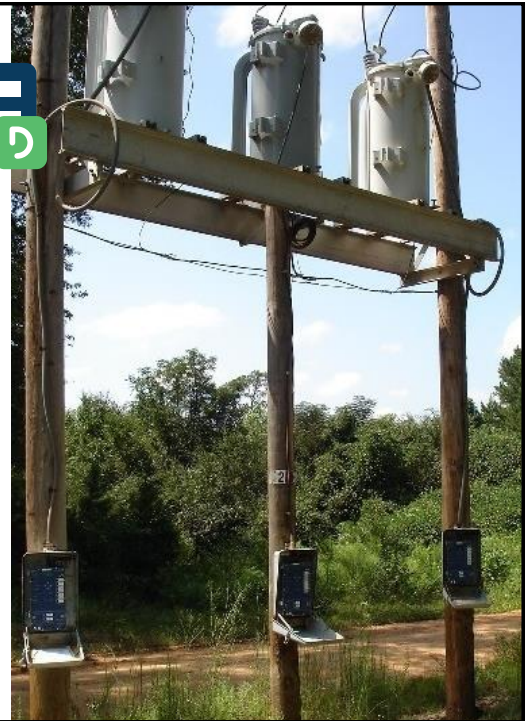
# Easily verify settings and software state

- RTAC properties
  - SEL-651R settings and properties
  - Firmware audits
  - Settings audits
  - Security gateway settings
  - Automated notifications
- } **Coming soon**

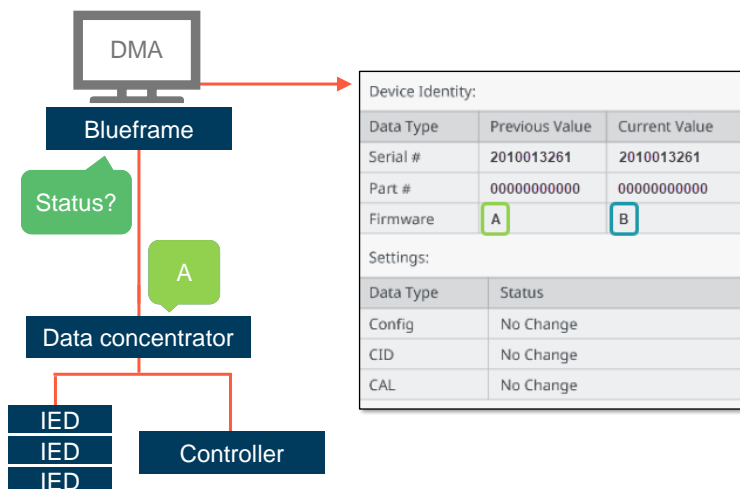


## Simplify, expedite, and increase reliability of device integrity checks

- Automate device identity checks to maintain understanding of system devices
- Maximize efficiency by only collecting detected changes
- Securely move settings to settings management repository for comparison



## Rapidly assess device identity status



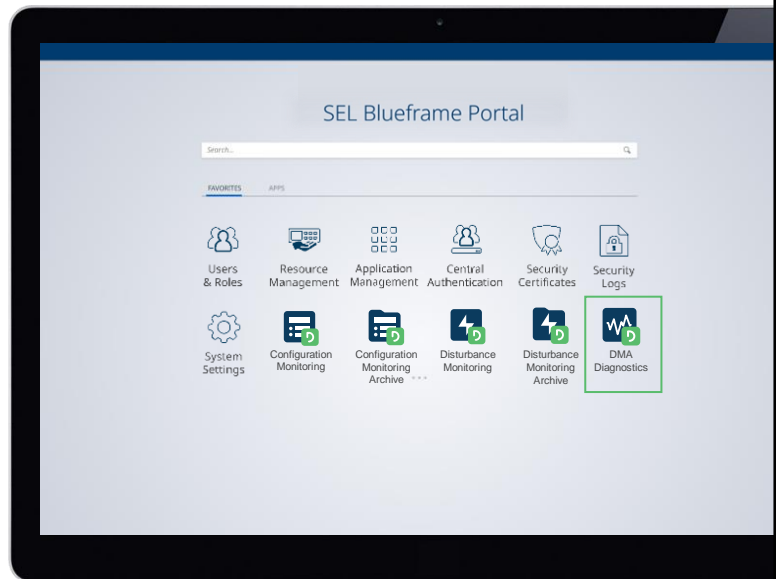
- Generate summary for multiple devices
- Export to settings management software



# DMA Diagnostics

Support tool for system status, diagnostics, and troubleshooting

- Status information
- Detailed logging
- Device communication status
- Automation process failure/success indication



Included with DMA applications

## Automation state and troubleshooting at your fingertips



Quickly assess health of recently queried devices to ensure successful collection



Troubleshoot devices where data collection is failing with easy-to-understand status messages and execution logs



Quickly assess effect of automated collection plans on system to determine optimal configuration

# Gain insight into automated system operations



OVERVIEW Percent Successful: 89% Failed Jobs: 68 Successful Jobs: 573

Recently Failed Resources

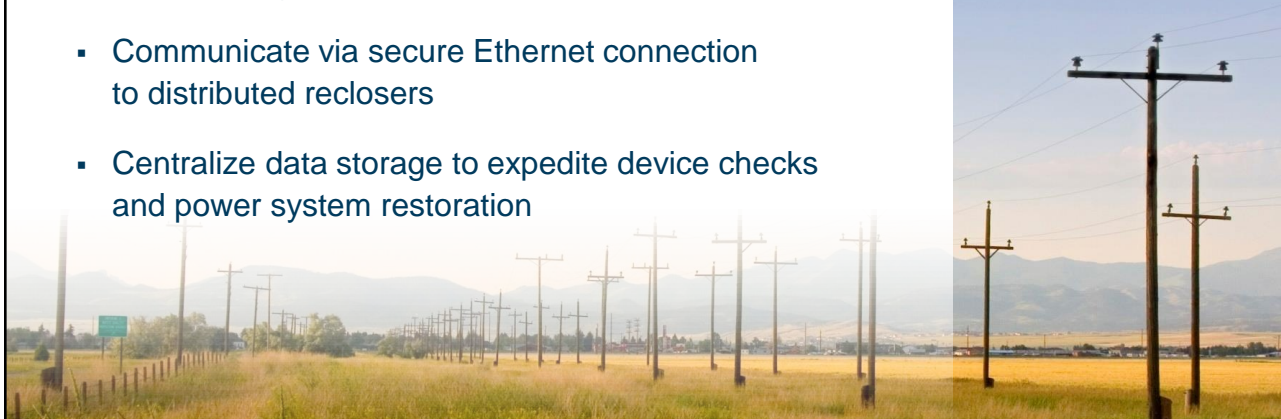
Resource	Health	Last Execution Duration	Total Failed Executions	Total Succeeded Executions	Percent Successful Executions	Last Failed Execution Status
2350 Central SEL-734	Poor	132.426s	4	0	0.00	Error: Connection
2350 North SEL-734	Poor	132.421s	4	0	0.00	Error: Connection
2350 South SEL-734	Poor	132.414s	4	0	0.00	Error: Connection
SEL-734_1	Poor	1.013s	7	0	0.00	Error: Connection
Z1_SEL-351-2	Good		0	10	100.00	
Z1_SEL-351-7	Poor		0	0	0.00	
Z1_SEL-351A	Poor		0	0	0.00	
Z1_SEL-351S-7	Good		0	23	100.00	
Z1_SEL-421-1	Good		0	21	100.00	
Z1_SEL-734	Poor		0	1	0.00	
Z1_SEL-735	Poor	1.468s	4	2	33.33	Error: Connection
Z2_SEL-735	Poor	132.26s	3	0	0.00	Error: Connection
Z2_SEL-421-4	Good	6.263s	1	24	96.00	Error: Communications
Z2_SEL-421-3	Good	15.062s	1	35	97.22	Error: Communications
Z2_SEL-351S-6	Good	3.782s	1	10	90.91	Error: Communications
Z2_SEL-421-3 (2)	Good	14.163s	2	17	89.47	Error: Communications
Z2_SEL-421-4 (2)	Good	6.257s	1	38	97.44	Error: Communications
Z2_SEL-421-3 (3)	Good	14.13s	4	30	88.24	Error: Connection
Z2_SEL-351RS	Good	5.406s	1	10	90.91	Error: Connection
Fazzari_SEL-3530 (1)	Poor	3.113s	1	0	0.00	Error: Connection
Fazzari_SEL-3505-3 (1)	Poor	6.096s	1	0	0.00	Error: Connection

**Health indicator**  
View system health at a glance

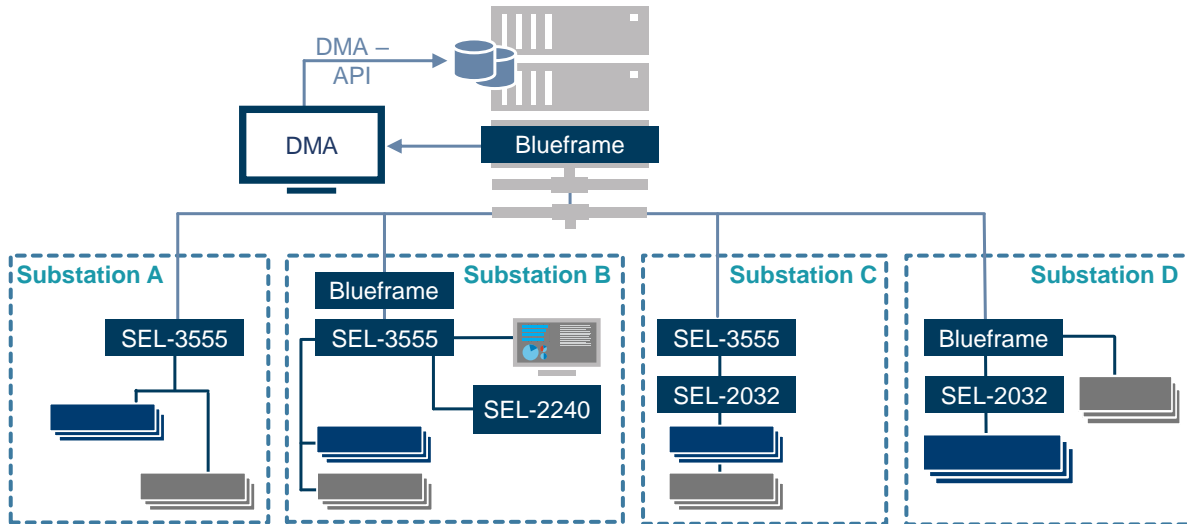
**Latest failed message**  
Quickly get failure message indication from one place

# Streamline device management of recloser systems with DMA

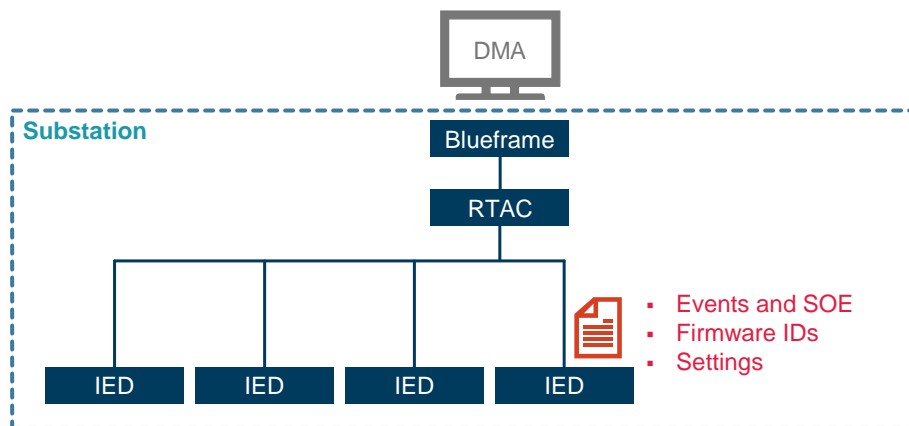
- Schedule and automate data collection of events, SOE, settings, and recloser property data
- Communicate via secure Ethernet connection to distributed reclosers
- Centralize data storage to expedite device checks and power system restoration



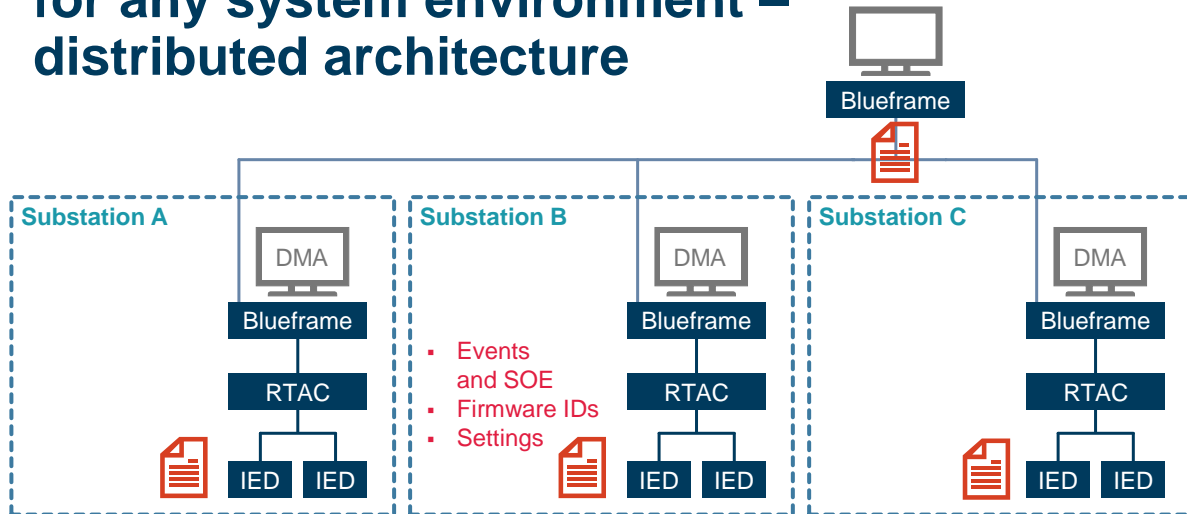
## Adaptable solution for new or retrofit systems



## Scalable installation options for any system environment – substation architecture



## Scalable installation options for any system environment – distributed architecture



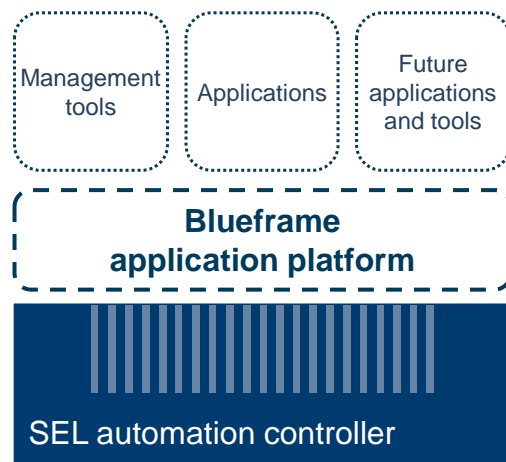
## Which SEL automated solution is right for you?

Feature	Blueframe with DMA	ACSELERATOR TEAM® SEL-5045 Software	SEL RTAC
Shared configuration	✓	✗	✗
Supporting technology	Modular, SEL-secured Linux	Windows	SEL-secured Linux
Role-based access control	✓	✗	✓
Event and SOE collection	✓	✓	✓
Settings and ID verification	✓	✗	Partial
Logic processor	✗	✗	✓
Data concentration	✗	✗	✓
API for data extraction	Full	Partial	Partial
Installation type	Embedded and software	Software	Embedded

## Which SEL automated solution is right for you?

Device support	Blueframe with DMA	ACSELERATOR TEAM SEL-5045 Software	SEL RTAC
SEL-300 series	Coming in 4Q21	✓	✓
SEL-400 series	Coming in 4Q21	✓	✓
SEL-500 series	Coming in 4Q21	✓	✓
SEL-651R	✓	✓	✓
SEL-849	Coming in 4Q21	✓	✓
SEL-2400 series	Coming in 4Q21	✓	✓
SEL-RTAC	✓	✓	✓
GE	Indirect	Direct	Direct
Alstom	Indirect	Direct	Direct

**Secure, modular, and versatile application environment from SEL**



Thank you

